

# Static Program Checking

## CEGAR-based Specification Inference

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# Syntactic summaries by abstract interpretation

- Summarizes the behavior of a procedure as a symbolic relationship between pre and post states
- Summaries are declarative formulas in a subset of Alloy
  - Doesn't include **quantifiers**
  - Doesn't include **set comprehension**
- Provides both an upper and a lower bound on the final values of fields, return value, and allocated objects

relational expr  $\subseteq$  field'/variable'  $\subseteq$  relational expr

- The result can sometimes be precise

field'/variable' = relational expr

# Evaluations

- To evaluate the quality of the generated summaries
  - Evaluate their accuracy
    - Check if they are sufficient to prove the underlying code correct
- Widening parameters
  - Max number of operators = 1300
  - Mx number of allocations = 5
  - Max number of unions (before closure) = 3
  - Max number of procedure contexts = 5

# Accuracy evaluation

- Run on
  - Java linked list
  - An open-source graph library
- All summaries were generated in less than 3 seconds
  - Even for code containing 81 nested distinct method calls
- For each summary, check
  - $\text{Summary(proc)} \Rightarrow \text{spec(proc)}$
  - Spec is the actual specification of code, already available
  - The check is done by kodkod, so only in a finite scope
- For 30 procedures
  - 13 had accurate summaries
  - 16 had relatively accurate summaries (sufficient to check major properties)
  - Only in 1 case there was a major loss of information in the summary

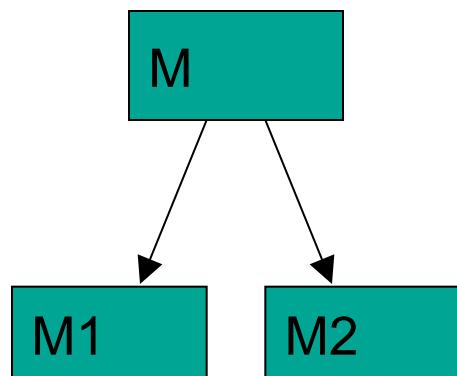
## Difficult case

- Remove element from linked list
  - Removes the first occurrence of a given element
  - Although the list is updated at most once, the update is done in the loop
  - So the loop modifies the next field – which is the one traversed in the loop
  - So the loop analysis doesn't stabilize by inferring closure, but widens to univ
  - The summary allows next and prev fields of all objects to change arbitrarily

- CounterExample-Guided Abstraction Refinement

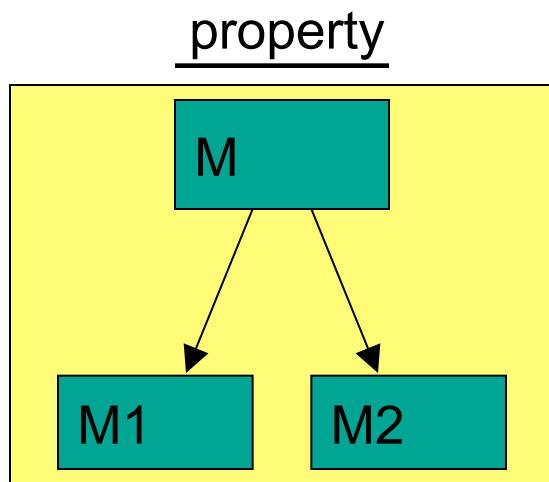
# Program verification

property



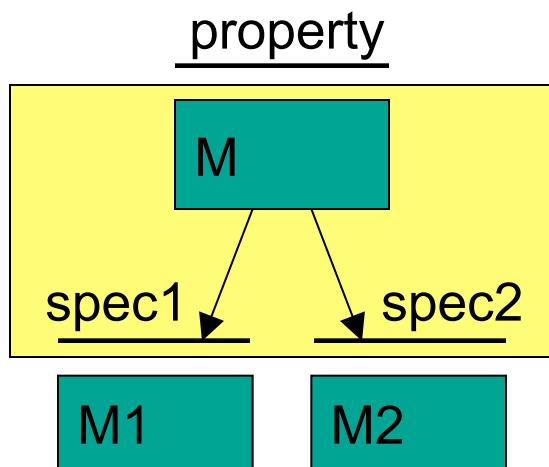
Does M satisfy property?  
(property is the top-level  
spec to check)

# Monolithic program verification



Does M satisfy property?  
(considering the code of  
M1 and M2)

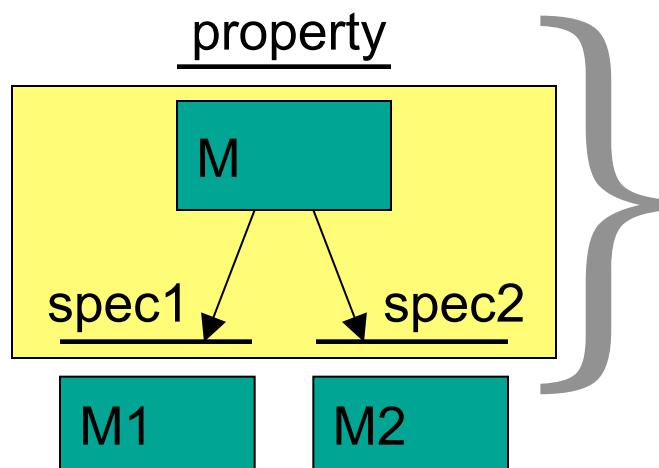
# Modular program verification



Does M satisfy property?

(assuming M1 satisfies spec1  
and M2 satisfies spec2)

# Automating modular verification

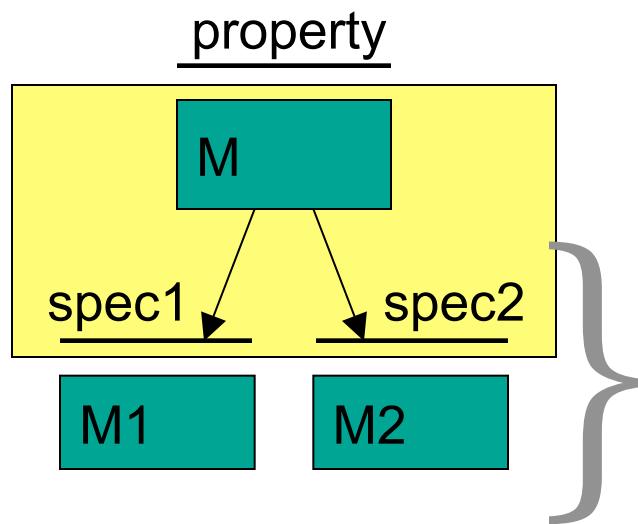


1. How to check the procedure?

Does **M** satisfy property?

(assuming **M1** satisfies spec1  
and **M2** satisfies spec2)

# Automating modular verification



1. How to check the procedure?
2. How to get the specs?

Does M satisfy property?

(assuming M1 satisfies spec1  
and M2 satisfies spec2)

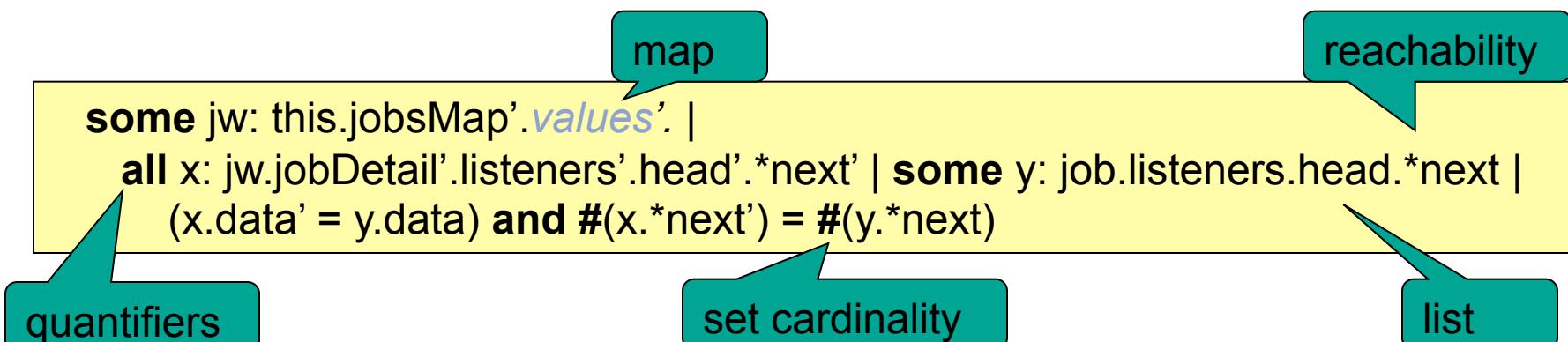
## Tools we studied

- To check the program:
  - Jalloy
  - Jforge
  - ESC/Java
- To generate specs:
  - Houdini
  - Daikon
  - Static technique for relational specs
- These specs may be insufficient to prove detailed properties

## Goal: specs for structural properties

- Target arbitrary data structure properties of code
  - Constrain configuration of objects in the heap
  - Should handle aliasing, reachability, sets, maps, lists, etc.

`some jw: this.jobsMap'.values'. |  
all x: jw.jobDetail'.listeners'.head'.*next' | some y: job.listeners.head.*next' |  
(x.data' = y.data) and #(x.*next') = #(y.*next')`



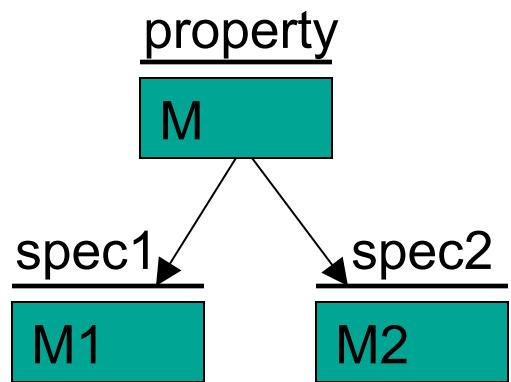
```

public void storeJob(JobDetail job, boolean replaceExisting, ...) {
    JobWrapper jw = new JobWrapper(job.clone());
    ...
    jobsmap.put(jw.key, jw);
    ...
}
  
```

# Insight

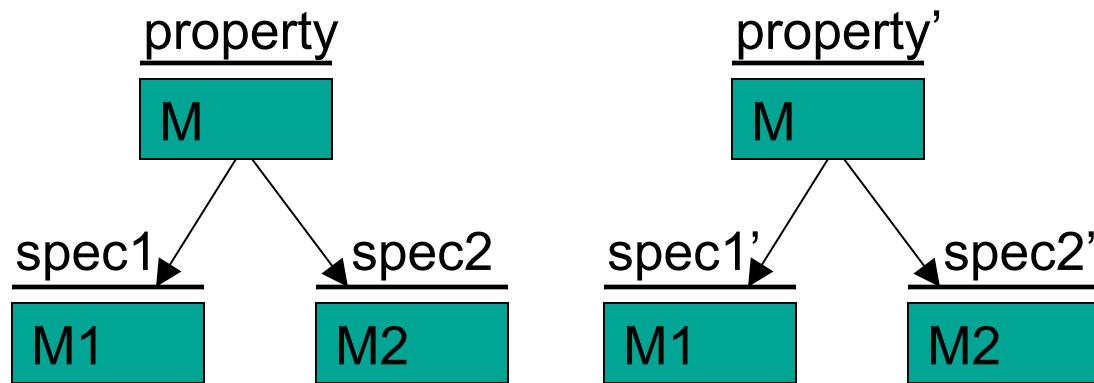
Inferring complete specs is infeasible in general

But, necessary specs need not be complete



# Insight

Inferring complete specs is infeasible in general  
But, necessary specs need not be complete

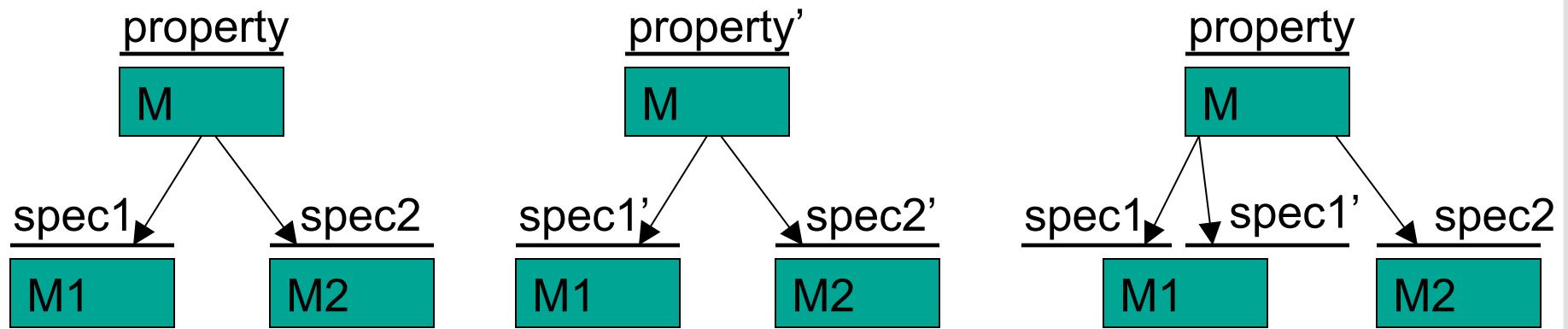


Necessary specs are **property dependent**

# Insight

Inferring complete specs is infeasible in general

But, necessary specs need not be complete



Necessary specs are **property dependent**

Necessary specs are **call site dependent**

# Example

```

class Job {
    JobList predecessors;
    JobList successors;
    int predsNum;
    int succsNum;
    int visitedPredsNum;
}
class JobList {
    Entry head;
}
class Entry {
    Job job;
    Entry next;
}
  
```

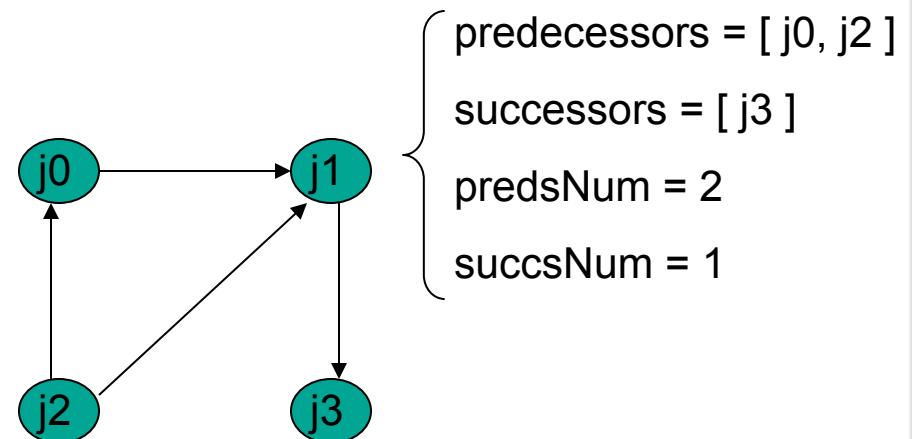
```

boolean scheduleJobs(JobList l) {
    boolean isAcyclic = true;
    l.init();
    Entry cur = l.head;
    while (cur != null) {
        Entry ready = findReady(cur);
        if (ready == null) {
            isAcyclic = false; break; }
        fixVisited(ready.job);
        swapJobs(ready, cur);
        cur = cur.next;
    } return isAcyclic;
}
  
```

# Example

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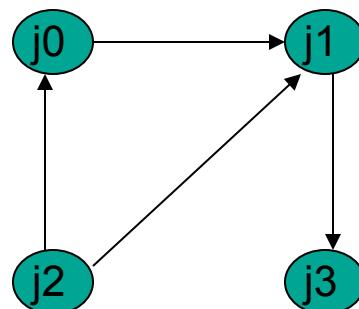


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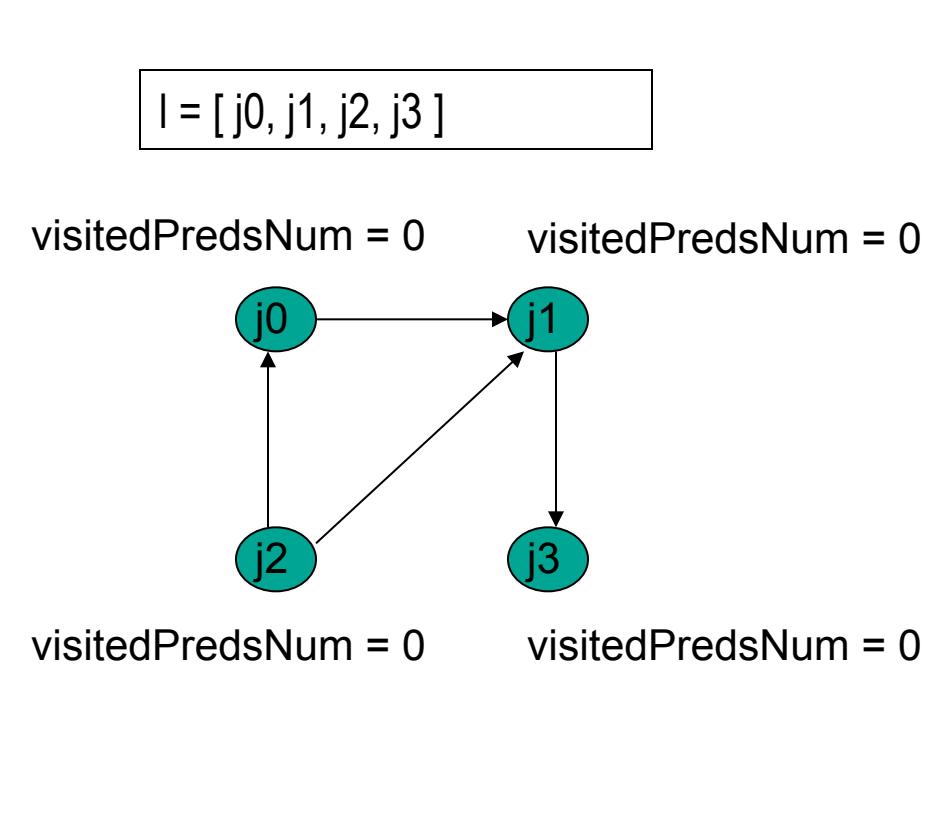
$l = [ j_0, j_1, j_2, j_3 ]$



# Example

```

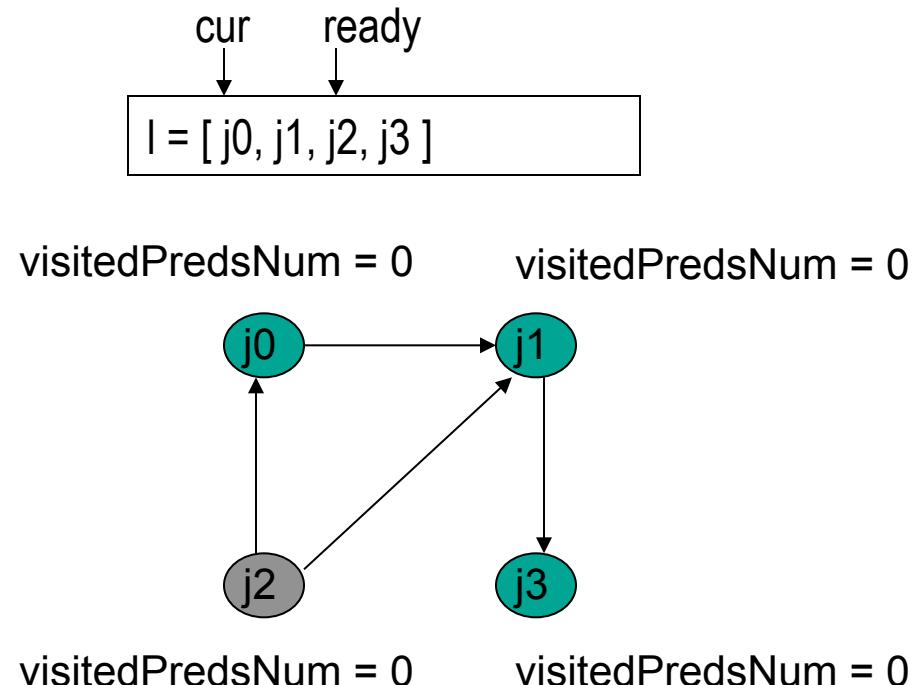
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# Example

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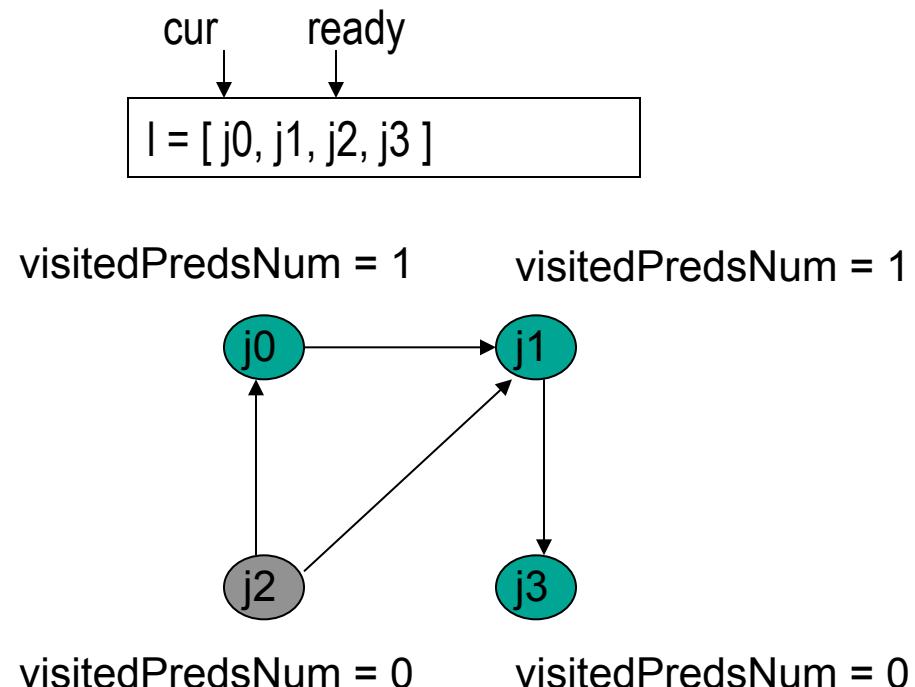
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# Example

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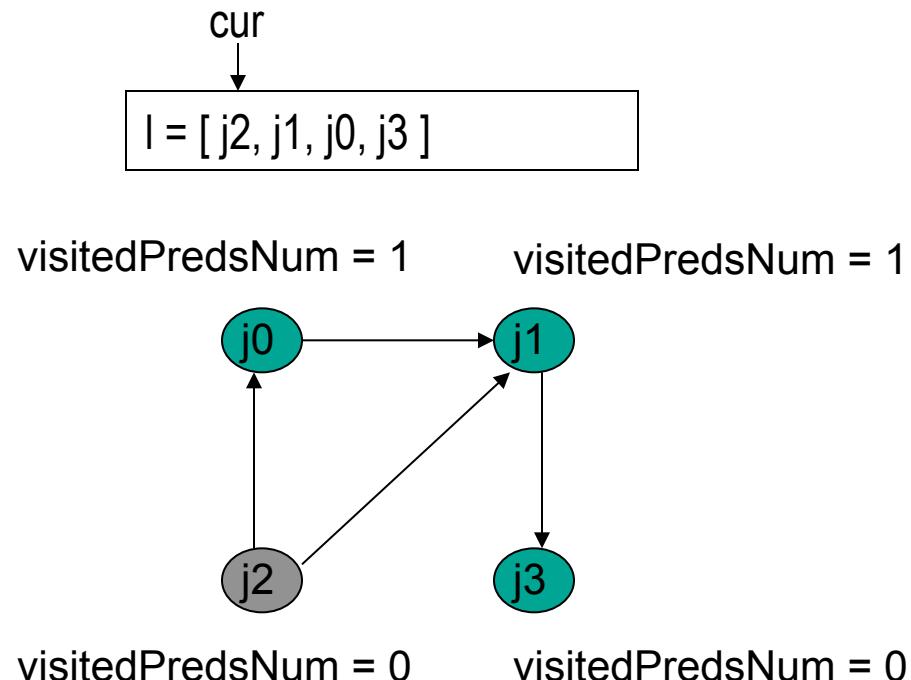
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# Example

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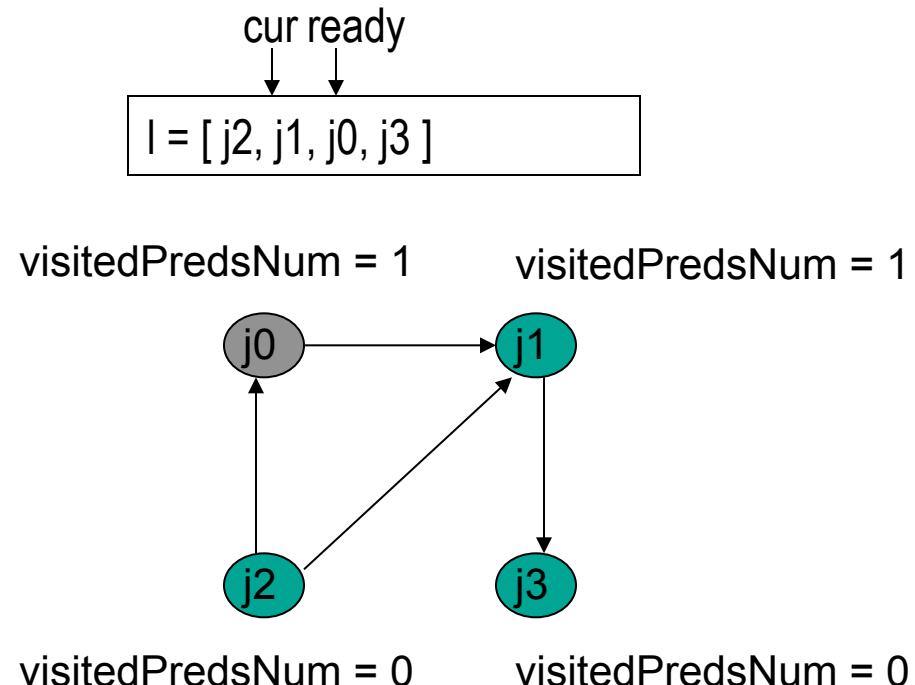
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# Example

```

class Job {
    JobList predecessors;
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    int predsNum;
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    int visitedPredsNum;
}
class JobList {
    Entry head;
}
class Entry {
    Job job;
    Entry next;
}
  
```

```

boolean scheduleJobs(JobList l) {
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        fixVisited(ready.job);
        swapJobs(ready, cur);
        cur = cur.next;
    } return isAcyclic;
}
  
```

jobs = head.\*next.job

Eventually schedules all jobs

(l.jobs' = l.jobs)

# Example – call site specs

( $\mathbf{l.jobs}' = \mathbf{l.jobs}$ )

Property can be checked  
with full specs for all call sites

```

boolean scheduleJobs(JobList l) {
  boolean isAcyclic = true;
  l.init();
  Entry cur = l.head;
  while (cur != null) {
    Entry ready = findReady(cur);
    if (ready == null) {
      isAcyclic = false; break; }
    fixVisited(ready.job);
    swapJobs(ready, cur);
    cur = cur.next;
  } return isAcyclic;
}
  
```

**full spec:**

For all jobs, initializes its “visitedPredsNum” to 0

**full spec:**

Returns first node reachable from “cur” whose  
“visitedPredsNum” equals “predsNum”

**full spec:**

Increments “visitedPredsNum” of all successors of “e.job”

**full spec:**

Swaps the jobs of the given entries

## Example – call site specs

(l.jobs' = l.jobs)

```

boolean scheduleJobs(JobList l) {
    boolean isAcyclic = true;
    l.init();
    Entry cur = l.head;
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        if (ready == null) {
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        cur = cur.next;
    } return isAcyclic;
}
  
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Property can be checked  
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But, for some calls, **partial  
specs** are enough

???

???

???

???

## Example – call site specs

```
(l.jobs' = l.jobs)
```

**boolean scheduleJobs(JobList l) {**

**boolean isAcyclic = true;**

**l.init();**

  Entry cur = l.head;

**while (cur != null) {**

    Entry ready = **findReady(cur);**

**if (ready == null) {**

      isAcyclic = **false; break;** }

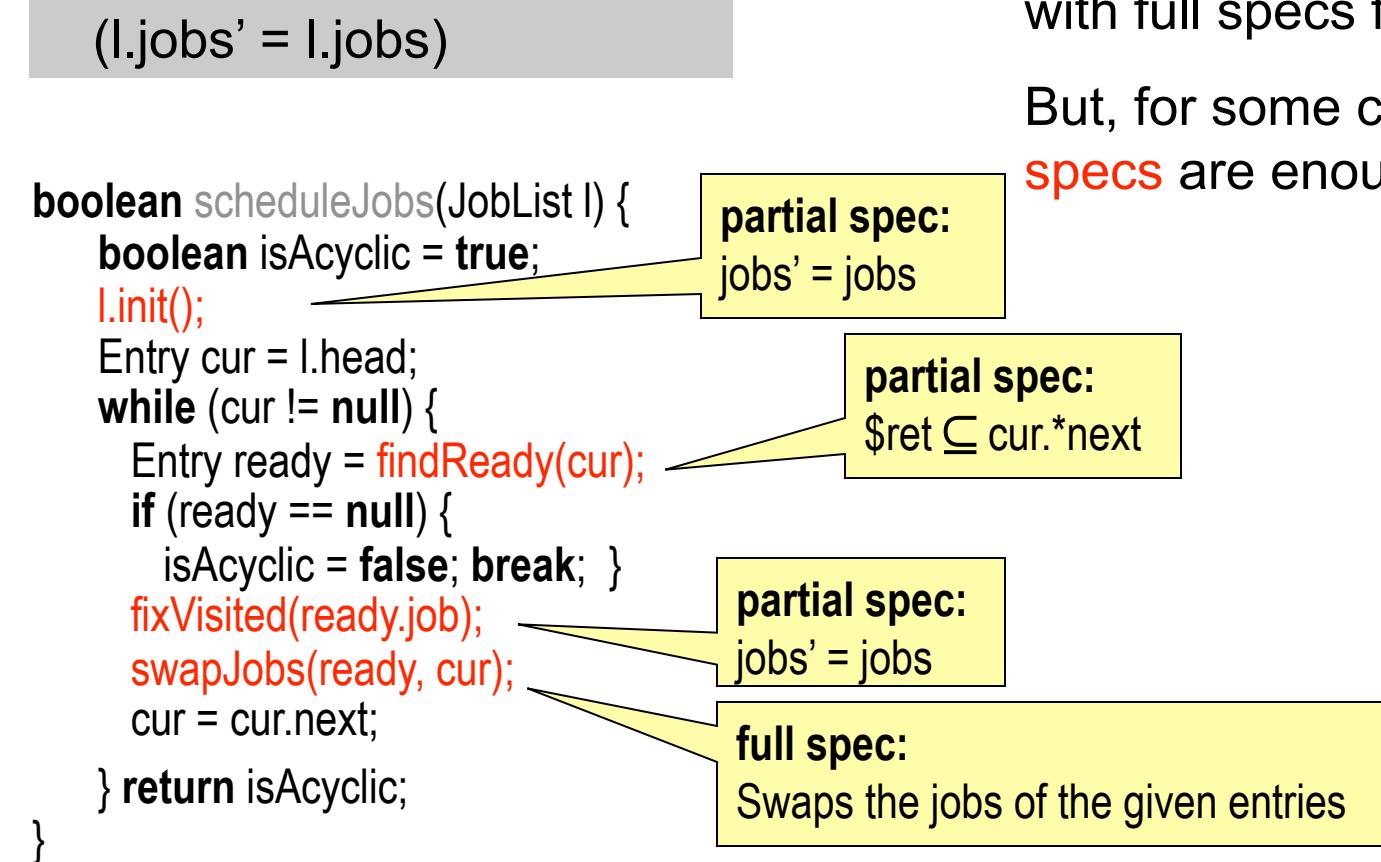
**fixVisited(ready.job);**

**swapJobs(ready, cur);**

      cur = cur.next;

**} return isAcyclic;**

}



**partial spec:**  
jobs' = jobs

**partial spec:**  
 $\$ret \subseteq cur.*next$

**partial spec:**  
jobs' = jobs

**full spec:**  
Swaps the jobs of the given entries

Property can be checked with full specs for all call sites

But, for some calls, **partial specs** are enough

## Example – call site specs

```
(I.jobs' = I.jobs)
```

**boolean scheduleJobs(JobList I) {**

**boolean isAcyclic = true;**

**I.init();**

  Entry cur = I.head;

**while (cur != null) {**

    Entry ready = **findReady(cur);**

**if (ready == null) {**

      isAcyclic = **false; break;** }

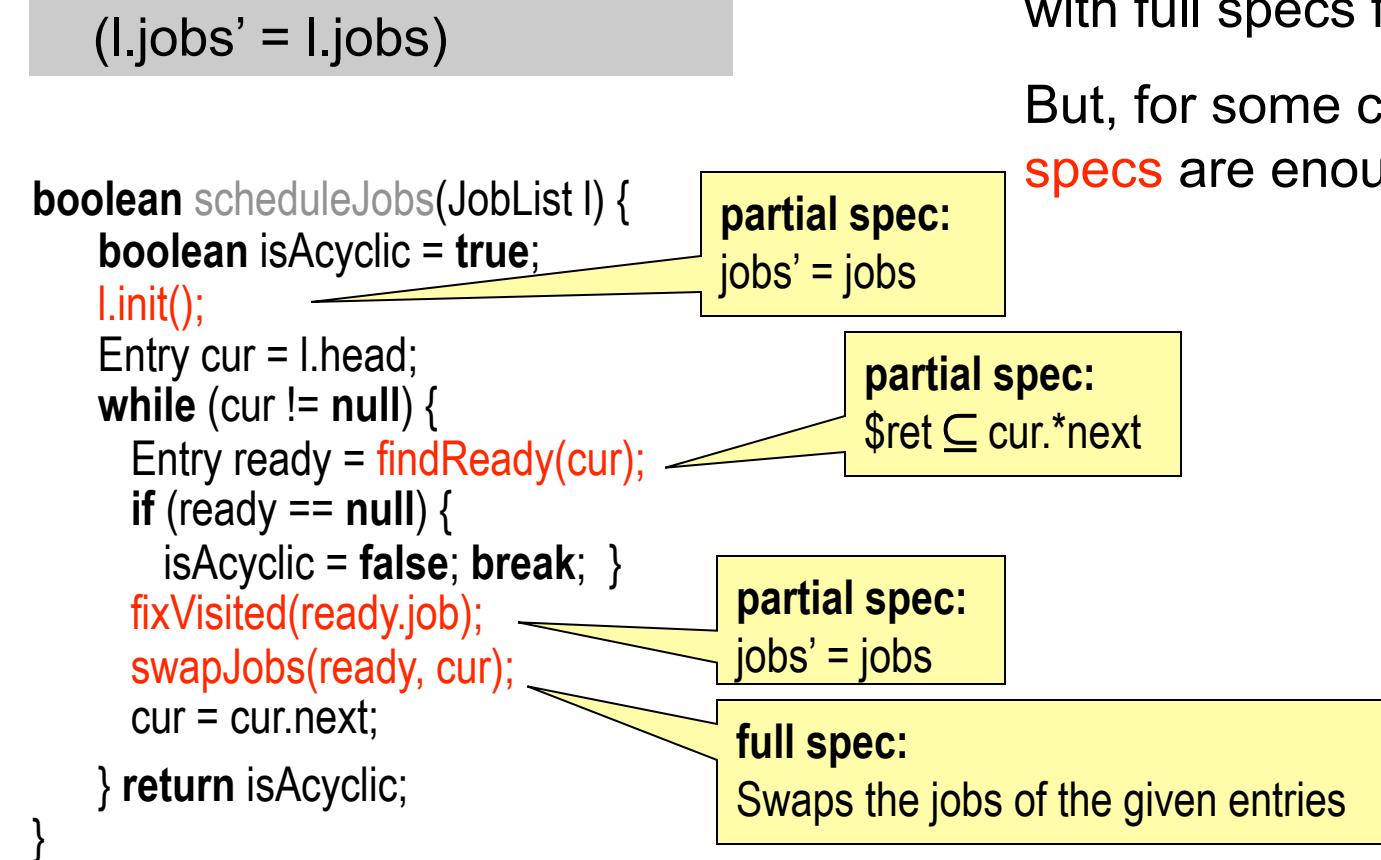
**fixVisited(ready.job);**

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**partial spec:**  
jobs' = jobs

**partial spec:**  
 $\$ret \subseteq cur.*next$

**partial spec:**  
jobs' = jobs

**full spec:**  
Swaps the jobs of the given entries

Property can be checked  
with full specs for all call sites

But, for some calls, **partial  
specs** are enough

We can infer these specs automatically

# Approach: infer sufficient specs

## Benefits

- Analyzes only as much code as necessary
- Often performs better than inlining  
*(reduces the analysis time of the example by factor of 15)*
- Finds callees' bugs if relevant to the property

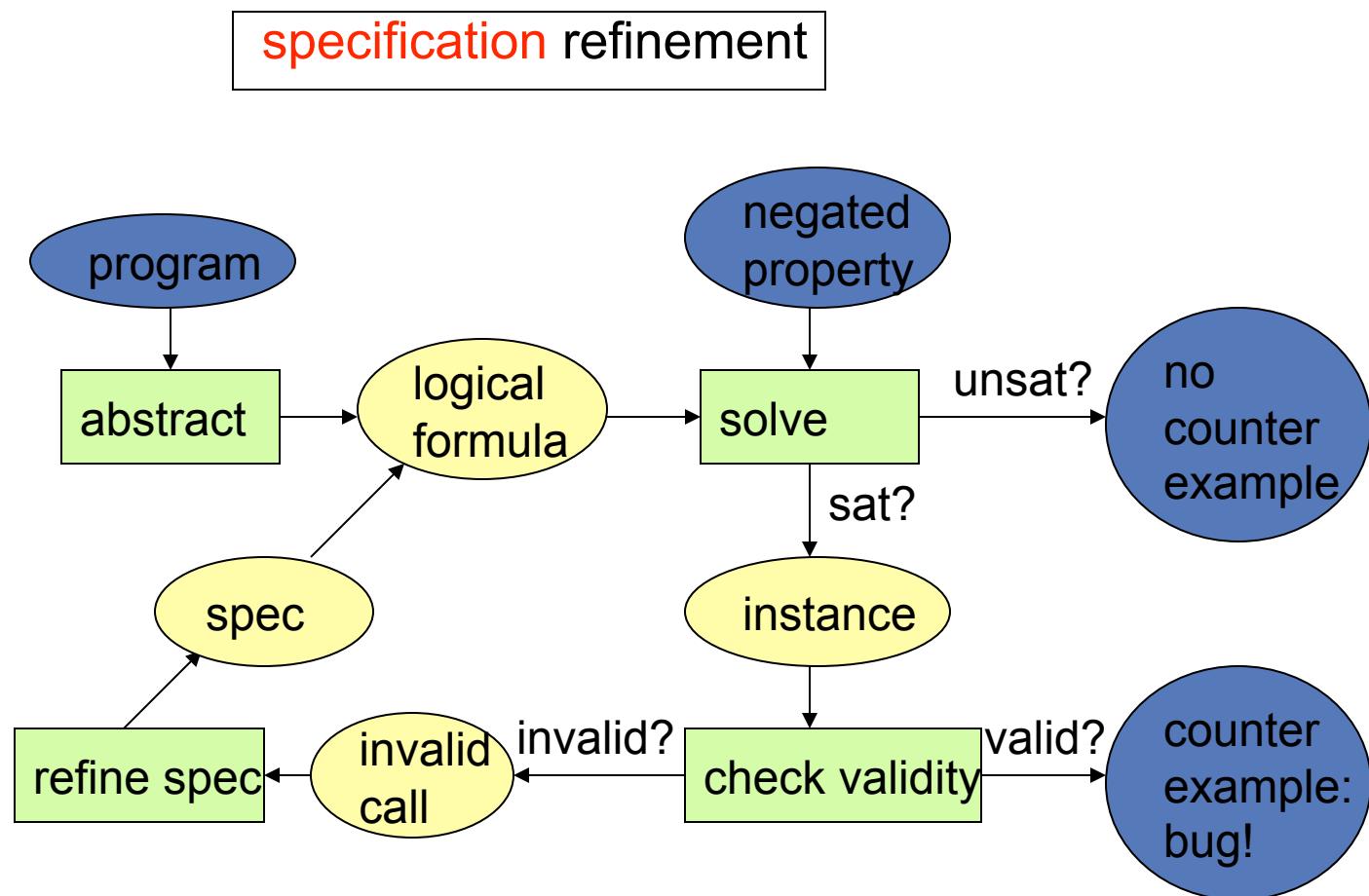
## Compromises

- Finitizes heap
- Finitizes loops/recursions

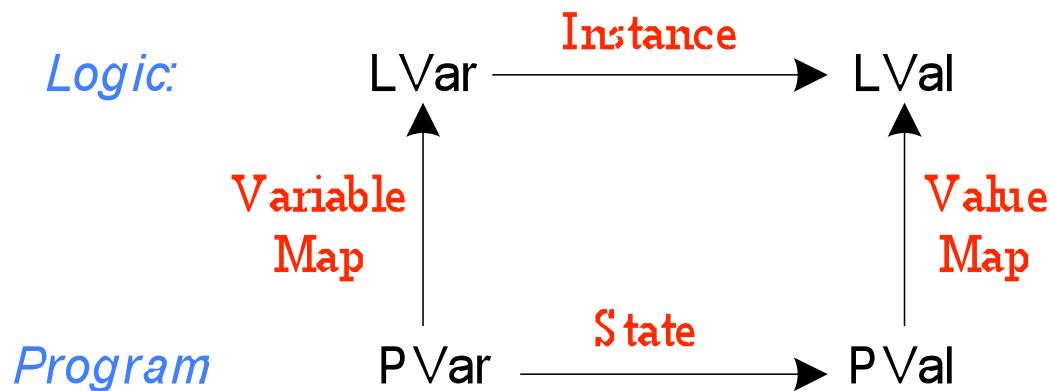
## Is a bug finder

- Sound (no false negatives)
- Complete within bounds (bounded verification)

# Algorithmic overview: CEGAR



# Framework: domains



- Logic domain:
  - *LVar* (logical variables), *LVal* (logical values)
  
- Program domain:
  - *PVar* (program variables), *PVal* (program values)

## Framework: input functions

translate:  $\text{Stmt} \times \text{VarMap} \times \text{ValueMap} \rightarrow \text{Formula} \times \text{VarMap}$

- Translates code to finite formula

solve:  $\text{Formula} \times \text{Instance} \rightarrow \mathcal{P}\text{Instance}$

$\text{solve}(f, i) = \{ i' \mid (i \subseteq i') \wedge (i' \in [f]) \}$

- Solves a formula with respect to a partial instance

invalidate:  $\text{Formula} \times \text{Instance} \rightarrow \text{Formula}$

$(\text{invalidate}(f, i) = g) \Rightarrow (\text{solve}(g, i) = \emptyset) \wedge (f \Rightarrow g)$

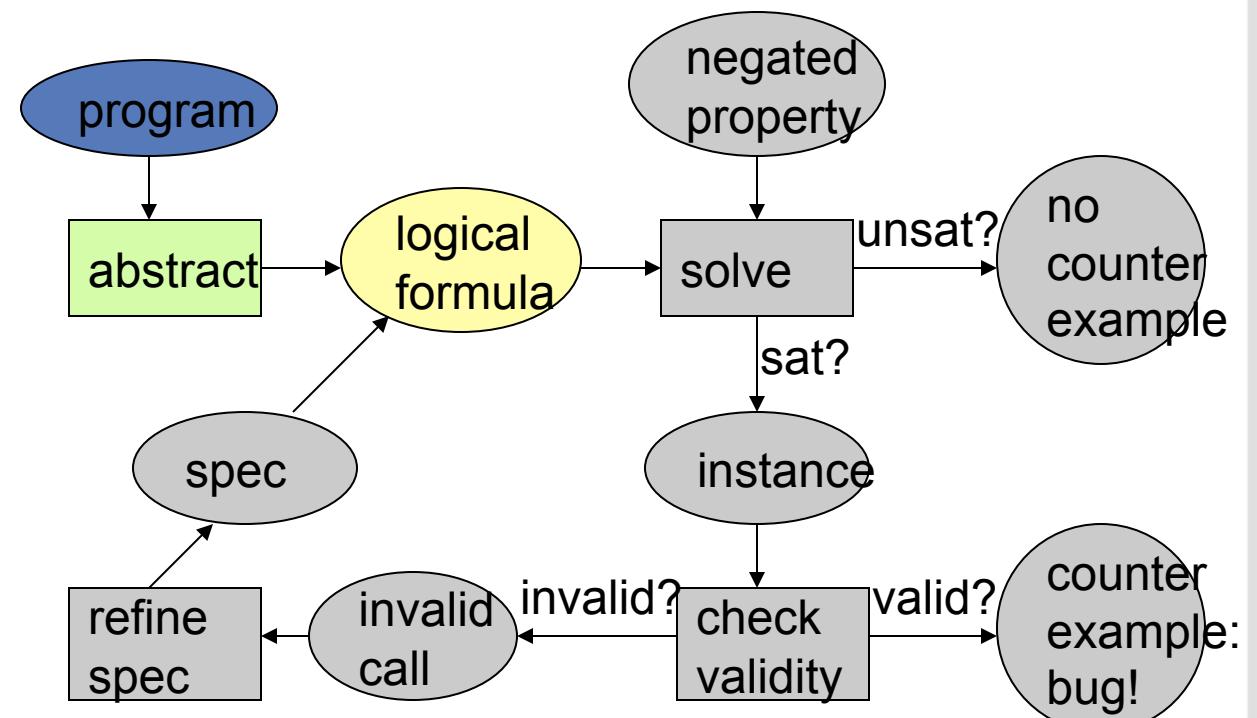
- Generates a formula that invalidates an instance

spec:  $\text{CallStmt} \times \text{VarMap} \times \text{ValueMap} \rightarrow \text{Formula} \times \text{VarMap}$

- Overapproximates the effects of a call site

# Framework: abstraction

```
procedure p() {
    stmt1;
    q(); spec(q)
    stmt2;
}
```



- Translates all statements but call sites
- Replaces call sites with their specs
  - Empty specs
  - Frame condition
  - Richer specs

# Example – relational view

Relational view of the heap:

- Types: sets
- Fields: binary functional relations
- Variables: singleton sets

```
class JobList {
    Entry head; }

class Entry {
    Job job;
    Entry next; }

class Job {
    JobList predecessors;
    JobList successors;
    int predsNum;
    int succsNum;
    int visitedPredsNum; }
```

JobList, Entry, Job : set Obj  
 head: JobList → Entry  
 job: Entry → Job  
 next: Entry → Entry  
 predecessors: Job → JobList  
 successors: Job → JobList  
 predsNum: Job → Int  
 succsNum: Job → Int  
 visitedPredsNum: Job → Int

## Example – empty specs

```
Entry findReady(Entry e) {
    Entry c = e;
    while ((c != null) &&
           (c.job.predsNum != c.job.visitedPredsNum))
        c = c.next;
    return c;
}
```

$\text{return} = ?_{\text{Entry}}$   
 $\text{job}' = ?$   
 $\text{next}' = ?$   
 $\text{head}' = ?$   
 $\text{predecessors}' = ?$   
 $\dots$

```
void fixVisited(Job j) {
    Entry e = j.successors.head;
    while (e != null) {
        e.job.visitedPredsNum = e.job.visitedPredsNum + 1;
        e = e.next;
    }
}
```

$\text{visitedPredsNum}' = ?_{\text{Job}} \rightarrow ?_{\text{Int}}$   
 $\text{job}' = ?$   
 $\text{next}' = ?$   
 $\text{head}' = ?$   
 $\dots$

## Example – frame conditions

```
Entry findReady(Entry e) {  
    Entry c = e;  
    while ((c != null) &&  
           (c.job.predsNum != c.job.visitedPredsNum))  
        c = c.next;  
    return c;  
}
```

??

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??

# Example – frame conditions

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$\text{visitedPredsNum}' = ?_{\text{Job}} \rightarrow ?_{\text{Int}}$   
 $\text{job}' = \text{job}$   
 $\text{next}' = \text{next}$   
 $\text{head}' = \text{head}$   
 $\dots$

## Example – abstraction

$(l.jobs' = l.jobs)$

```

boolean scheduleJobs(JobList l) {
    boolean isAcyclic = true;
    l.init();
    Entry cur = l.head;
    while (cur != null) {
        Entry ready = findReady(cur);
        if (ready == null) {
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        fixVisited(ready.job);
        swapJobs(ready, cur);
        cur = cur.next;
    } return isAcyclic;
}
  
```

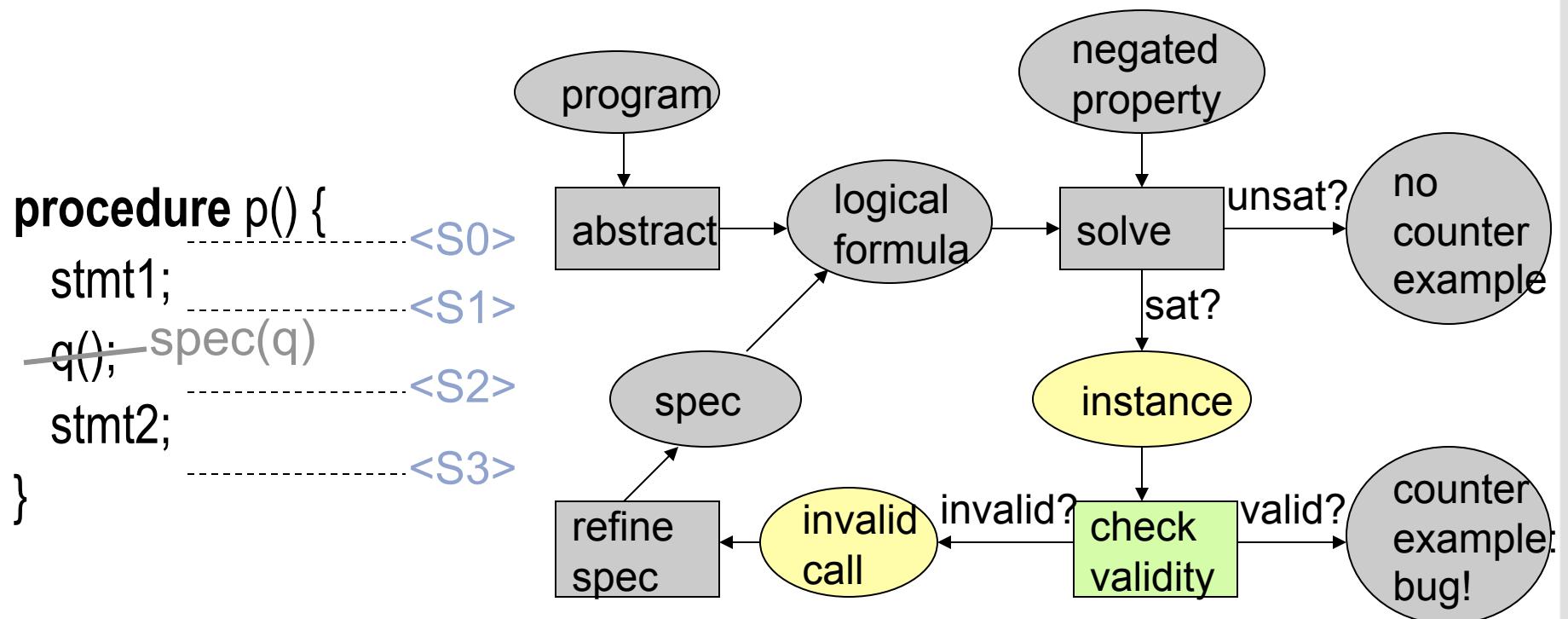
visitedPredsNum' = ?<sub>Job</sub> → ?<sub>Int</sub>

\$ret = ?<sub>Entry</sub>

visitedPredsNum' = ?<sub>Job</sub> → ?<sub>Int</sub>

job' = ?<sub>Entry</sub> → ?<sub>Job</sub>

# Framework: validity check

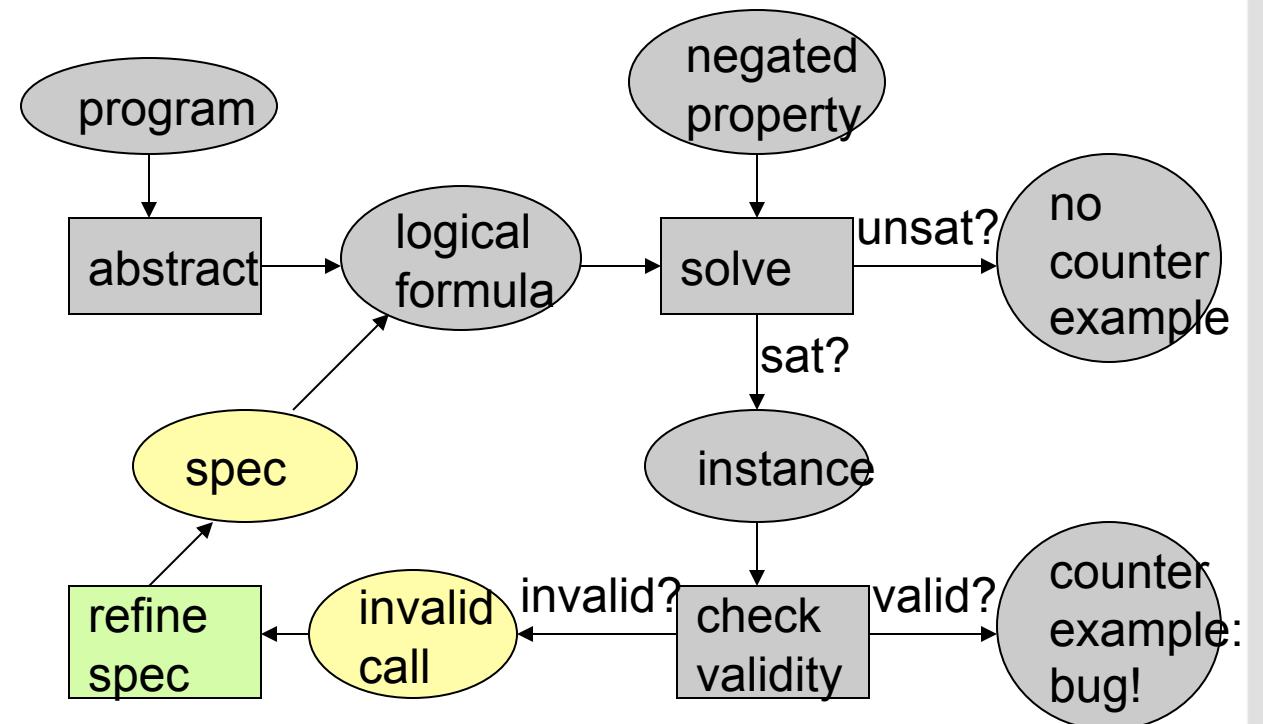


- Instance = trace in abstract program
- Examine each call: solve  
 $S_1 \wedge \text{translate}(q) \wedge S_2$
- If unsatisfiable, the call is invalid

# Framework: spec refinement

```

procedure p() {
    stmt1; ?
    q(); spec(q)
    stmt2;
}
  
```



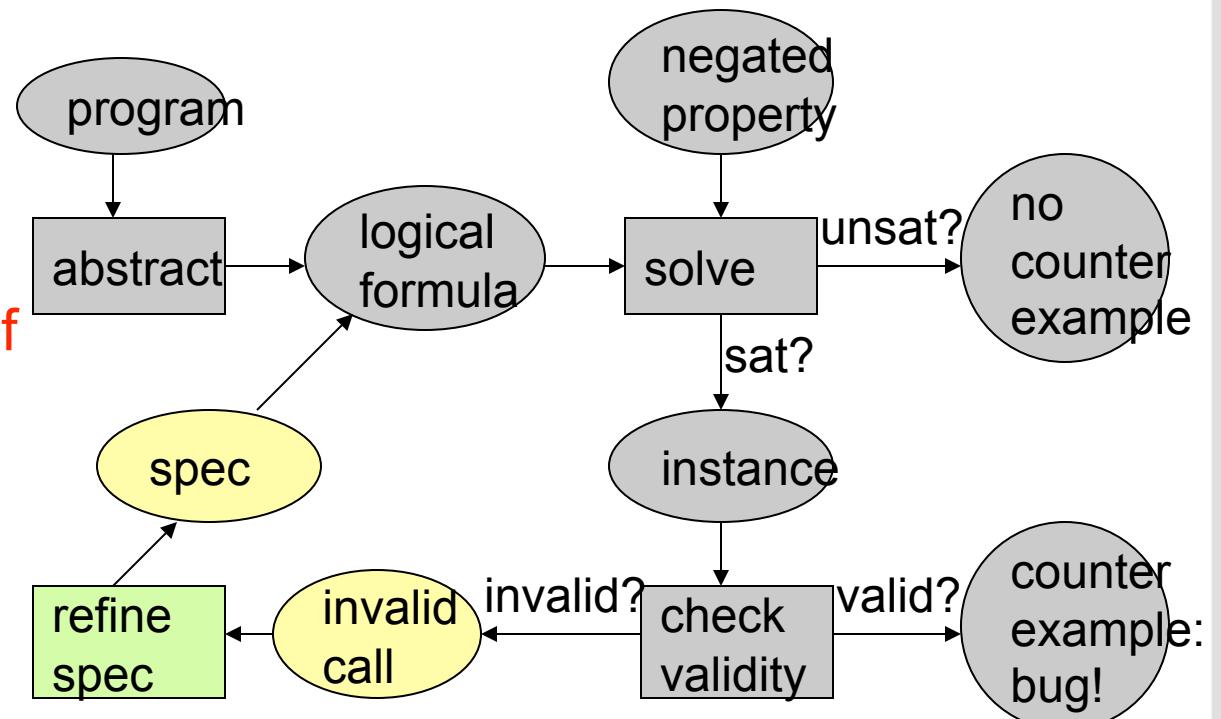
$$\text{preState}(q) \wedge \text{translate}(q) \wedge \text{postState}(q) = \text{false}$$

- New spec must eliminate  $(\text{preState}(q), \text{postState}(q))$
- $\text{translate}(q)$  can be the new spec
- **Too big!**

# Framework: spec refinement

```

procedure p() {
    stmt1; spec(q) ∧ proof
    q(); spec(q)
    stmt2;
}
  
```



$\text{preState}(q) \wedge \text{translate}(q) \wedge \text{postState}(q) = \text{false}$

- **Proof of unsatisfiability:**

An unsatisfiable consequence of the solved formula

$\text{translate}(q) \Rightarrow \text{proof}$

$\text{preState}(q) \wedge \text{proof} \wedge \text{postState}(q) = \text{false}$

## Example – spec refinement

(l.jobs' = l.jobs)

```

boolean scheduleJobs(JobList l) {
  boolean isAcyclic = true;
  l.init();
  Entry cur = l.head;
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      isAcyclic = false; break; }
    fixVisited(ready.job);
    swapJobs(ready, cur);
    cur = cur.next;
  } return isAcyclic;
}
  
```

(cur = E0)  
 (E0.job = J0) (E0.next = null)  
 ...

Pre-state

(ready = E1)  
 (E1.job = J1) (E1.next = null)  
 ...

Post-state

Why is this a possible instance???

# Spec refinement – example

```

Entry findReady(Entry e) {
    Entry c = e;
    while ((c != null) &&
           (c.job.predsNum != c.job.visitedPredsNum))
        c = c.next;
    return c;
}
  
```

Translation of `findReady`  
(one unrolling)

Pre-state

$(e = E0)$   
 $(E0.job = J0) (E0.next = \text{null})$   
 $\dots$

Post-state

$(\$ret = E1)$   
 $(E1.job = J1) (E1.next = \text{null})$   
 $\dots$

$(e = \text{null}) \Rightarrow (\$ret = e)$   
 $((e \neq \text{null}) \wedge (e.job.predsNum = e.job.visitedPredsNum))$   
 $\Rightarrow (\$ret = e)$   
 $((e \neq \text{null}) \wedge (e.job.predsNum \neq e.job.visitedPredsNum))$   
 $\Rightarrow (\$ret = e.next)$   
 $(e.next = \text{null})$

# Spec refinement – example

```

Entry findReady(Entry e) {
    Entry c = e;
    while ((c != null) &&
           (c.job.predsNum != c.job.visitedPredsNum))
        c = c.next;
    return c;
}
  
```

Translation of `findReady`  
(one unrolling)

Pre-state

$$\begin{aligned}
 & (e = E0) \\
 & (E0.job = J0) (E0.next = \text{null}) \\
 & \dots
 \end{aligned}$$

Post-state

$$\begin{aligned}
 & (\$ret = E1) \\
 & (E1.job = J1) (E1.next = \text{null}) \\
 & \dots
 \end{aligned}$$

$$\begin{aligned}
 & (e = \text{null}) \Rightarrow (\$ret = e) \\
 & ((e \neq \text{null}) \wedge (e.job.predsNum = e.job.visitedPredsNum)) \\
 & \quad \Rightarrow (\$ret = e) \\
 & ((e \neq \text{null}) \wedge (e.job.predsNum \neq e.job.visitedPredsNum)) \\
 & \quad \Rightarrow (\$ret = e.next) \\
 & (e.next = \text{null})
 \end{aligned}$$

false

# Spec refinement – example

```

Entry findReady(Entry e) {
    Entry c = e;
    while ((c != null) &&
           (c.job.predsNum != c.job.visitedPredsNum))
        c = c.next;
    return c;
}
  
```

Translation of `findReady`  
(one unrolling)

Pre-state

$(e = E0)$   
 $(E0.job = J0)$   $(E0.next = \text{null})$   
 $\dots$

Post-state

$(\$ret = E1)$   
 $(E1.job = J1)$   $(E1.next = \text{null})$   
 $\dots$

$(e = \text{null}) \Rightarrow (\$ret = e)$   
 $((e \neq \text{null}) \wedge (e.job.predsNum = e.job.visitedPredsNum))$   
 $\Rightarrow (\$ret = e)$   
 $((e \neq \text{null}) \wedge (e.job.predsNum \neq e.job.visitedPredsNum))$   
 $\Rightarrow (\$ret = e.next)$   
 $(e.next = \text{null})$

# Spec refinement – example

```

Entry findReady(Entry e) {
    Entry c = e;
    while ((c != null) &&
           (c.job.predsNum != c.job.visitedPredsNum))
        c = c.next;
    return c;
}
  
```

Translation of `findReady`  
(one unrolling)

Pre-state

$$\begin{aligned}
 & (e = E0) \\
 & (E0.job = J0) (E0.next = \text{null}) \\
 & \dots
 \end{aligned}$$

Post-state

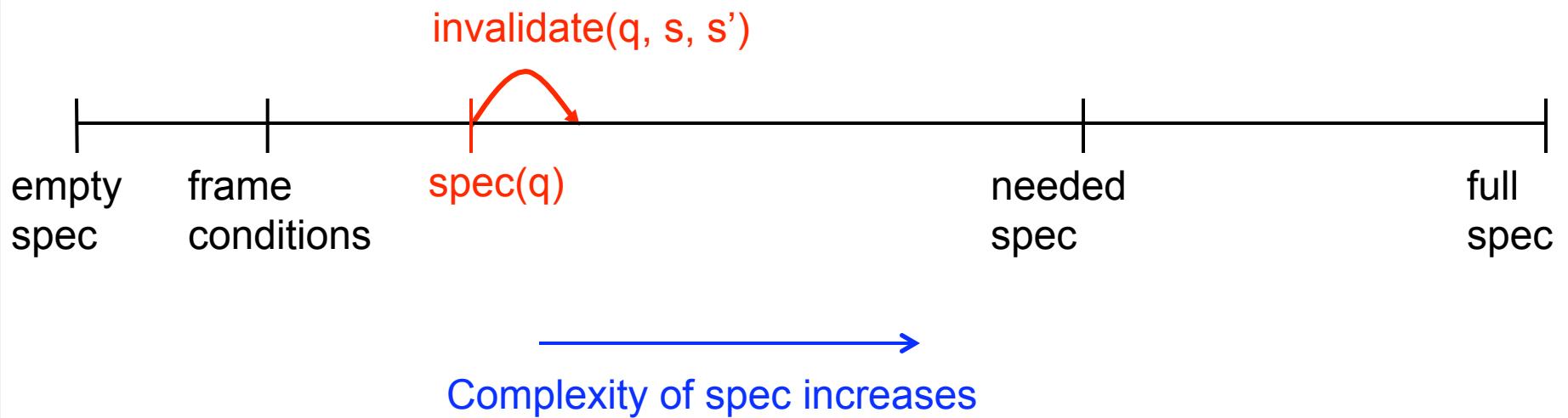
$$\begin{aligned}
 & (\$ret = E1) \\
 & (E1.job = J1) (E1.next = \text{null}) \\
 & \dots
 \end{aligned}$$

$$\begin{aligned}
 & (e = \text{null}) \Rightarrow (\$ret = e) \\
 & ((e \neq \text{null}) \wedge (e.job.predsNum = e.job.visitedPredsNum)) \\
 & \quad \Rightarrow (\$ret = e) \\
 & ((e \neq \text{null}) \wedge (e.job.predsNum \neq e.job.visitedPredsNum)) \\
 & \quad \Rightarrow (\$ret = e.\text{next}) \\
 & (e.\text{next} = \text{null})
 \end{aligned}$$

New spec:

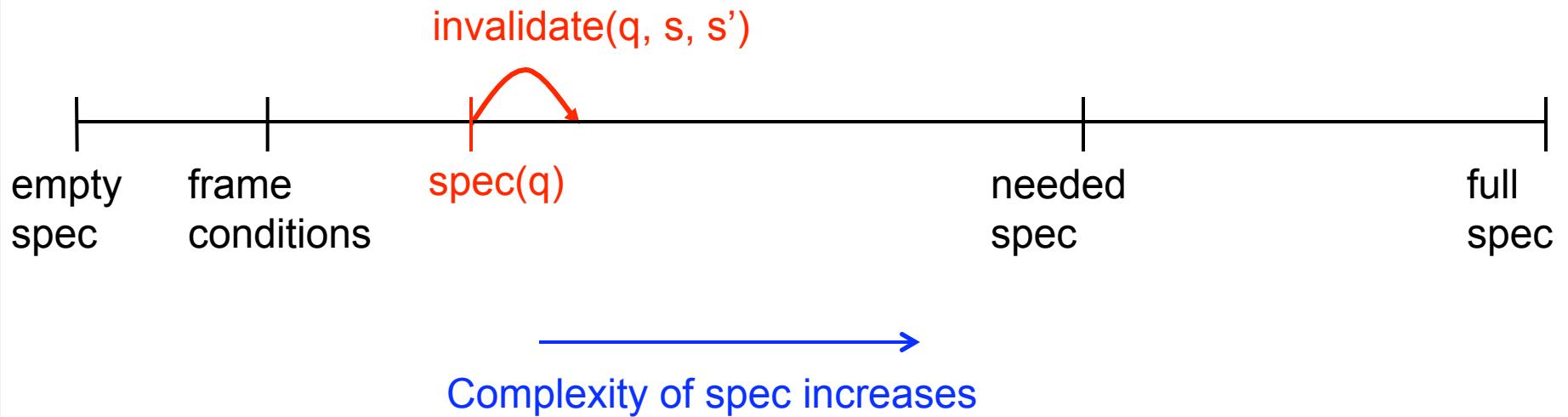
$\$ret = e \parallel \$ret = e.\text{next}$

# Spec refinement



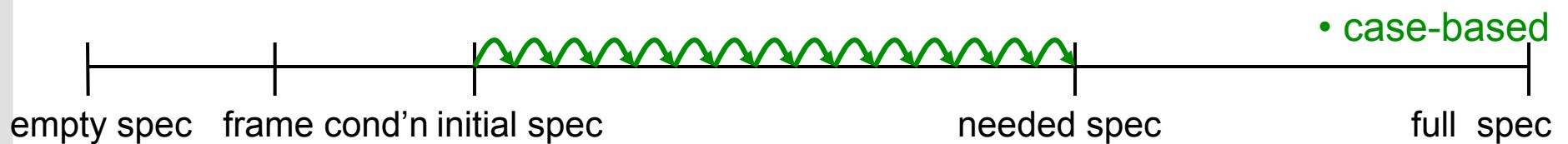
- What is a good spec to start with?
  - Are frame conditions good enough?
- What is a good pace to make progress?
  - Is proof of unsatisfiability good enough?

# Spec refinement



- Other ideas for ‘invalidate’?
- $\text{Translate}(q) \text{ and } S \text{ and } S' = \text{False}$

# Spec refinement: pace of progress



pre-state ( $s$ )

**procedure**  $q(\dots)$  {

.

.

.

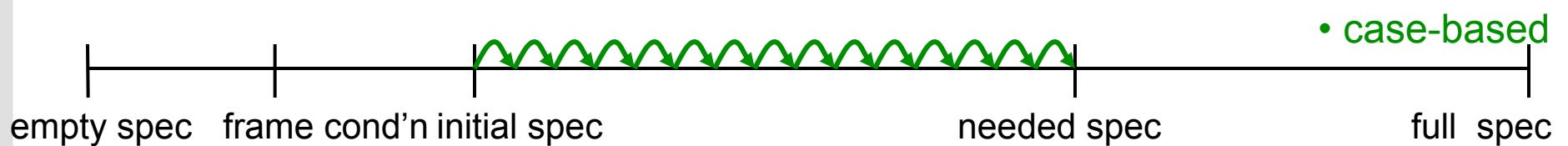
}

case-based:  
 $\neg(s \wedge s')$

- good or bad?

post-state ( $s'$ )

# Spec refinement: pace of progress



pre-state ( $s$ )

**procedure**  $q(\dots)$  {

.

.

.

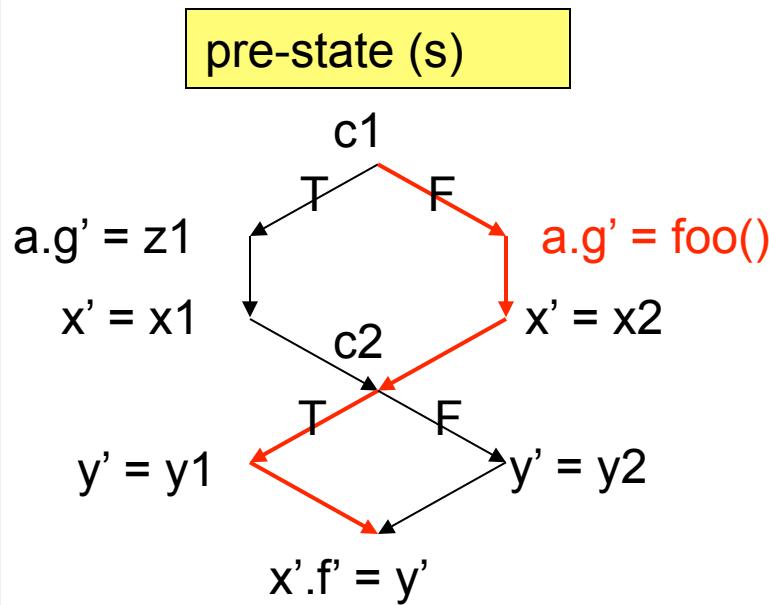
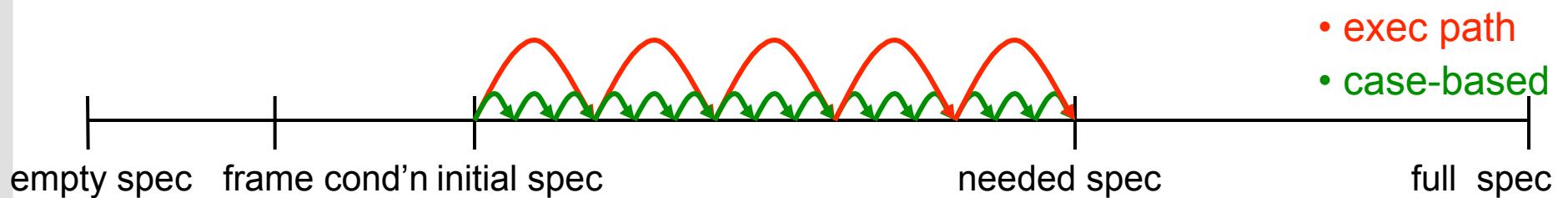
}

case-based:  
 $\neg(s \wedge s')$

- number of refinements proportional to the number of states allowed

post-state ( $s'$ )

# Spec refinement: pace of progress



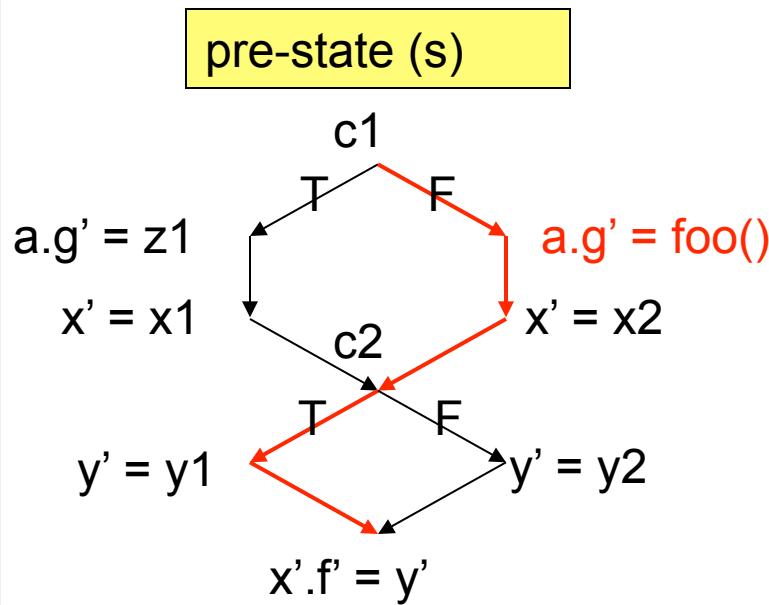
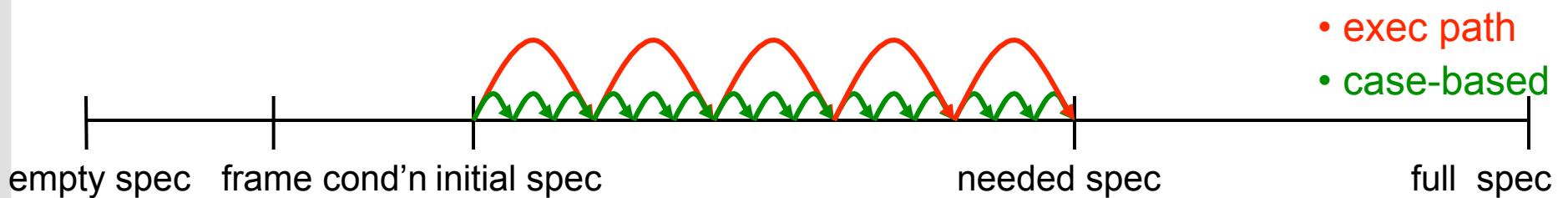
exec path:

$$(\neg c1 \wedge c2) \Rightarrow f' = f ++ x2 \rightarrow y1 \wedge$$

$$g' = g ++ a \rightarrow \text{foo\_ret}$$

- pros / cons?

# Spec refinement: pace of progress



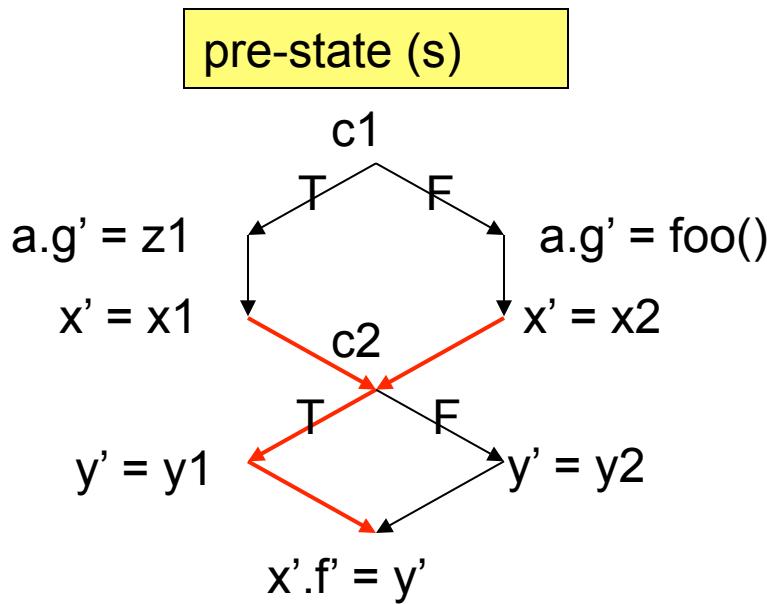
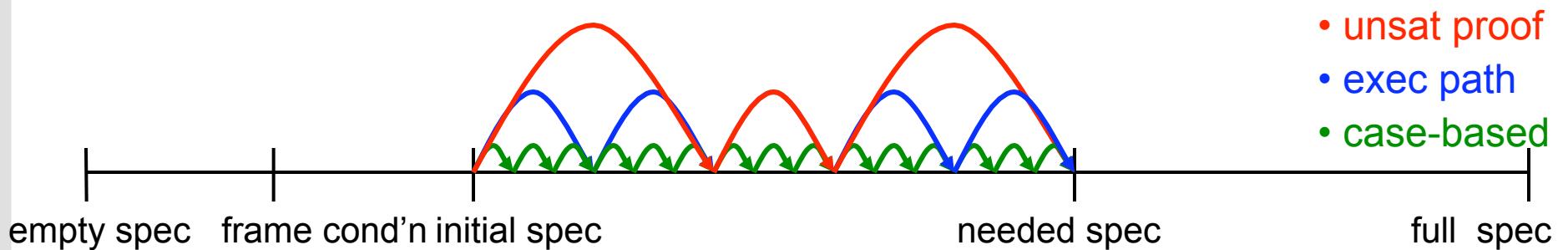
exec path:

$$(\neg c1 \wedge c2) \Rightarrow f' = f ++ x2 \rightarrow y1 \quad \wedge$$

$$g' = g ++ a \rightarrow \text{foo\_ret}$$

- number of refinements proportional to the number of paths
- refined spec may be unnecessarily complex

# Spec refinement: pace of progress



unsat proof:

$$c2 \Rightarrow f' = (f ++ x1 \rightarrow y1) \parallel$$

$$f' = (f ++ x2 \rightarrow y1)$$

- extracts only relevant pieces
- may encode more than one path
- checks an abstraction of code: inner calls still abstract

# Initial specifications

Affects performance

- Better specs reduce number of refinements
- Time spent to get rich specs may be wasted

Lightweight technique:

- Specifies upper and lower bounds on final values

relational expr  $\subseteq$  field'/variable'  $\subseteq$  relational expr

- Results are sometimes precise

field'/variable' = relational expr

## Example – relational specs

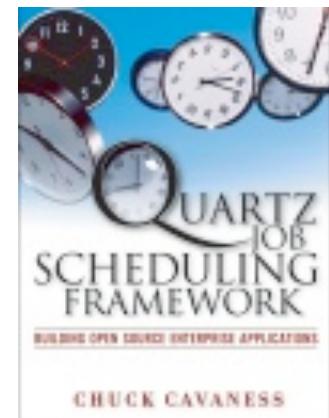
```
Entry findReady(Entry e) {  
    Entry c = e;  
    while ((c != null) &&  
           (c.job.predsNum != c.job.visitedPredsNum))  
        c = c.next;  
    return c;  
}
```

$$\$ret \subseteq (e.*next \& (null + job.predsNum.(e.*next.job.visitedPredsNum)))$$

$$\$ret \supseteq \emptyset$$

## Experiments – Quartz API

- Open source library for job scheduling
  - Used by “thousands of people”
  - Uses several data structures (e.g. list, map, set, ordered set)
- Checked 40 Methods in 4 Units
  - Containing up to 53 distinct called methods
- Checked correctness properties
  - Extracted from comments
  - Written as partial specs
- Found two previously unknown bugs



# 1<sup>st</sup> bug found in Quartz

- The bug:
  - In a called procedure (a very basic one)
  - Observable by users of Quartz
- This particular post-condition was never tested by developers
- The code:
  - Contains 17 method calls
  - Accesses 4 different maps and 2 ordered sets

Issue Details		<a href="#">XML</a>   <a href="#">Word</a>   <a href="#">Printable</a>
<b>Key:</b>	<a href="#">QUARTZ-553</a>	
<b>Type:</b>	<input checked="" type="checkbox"/> Bug	
<b>Status:</b>	<input checked="" type="checkbox"/> Closed	
<b>Resolution:</b>	Fixed	
<b>Priority:</b>	<input checked="" type="checkbox"/> Major	
<b>Assignee:</b>	<a href="#">James House</a>	
<b>Reporter:</b>	<a href="#">Mana Taghdiri</a>	
<b>Votes:</b>	0	
<b>Watchers:</b>	0	

Quartz Scheduler	
<b><a href="#">JobDetail.clone() does not preserve the order of job listeners</a></b>  <a href="#">JobDetail.clone() does not preserve the order of job listeners</a>	
Created: 09/Jan/07 10:26 AM Updated: 19/Mar/07 12:45 AM	
<b>Component/s:</b>	<a href="#">Core</a> , <a href="#">Jobs</a>
<b>Affects Version/s:</b>	<a href="#">1.6</a>
<b>Fix Version/s:</b>	<a href="#">1.6.1</a>
<b>File Attachments:</b>	1.  <a href="#">QUARTZ-553-fix.patch</a> (0.6 kb) 2.  <a href="#">QUARTZ-553-test.patch</a> (0.7 kb)
<a href="#">Manage Attachments</a>	

## 2<sup>nd</sup> bug found in Quartz

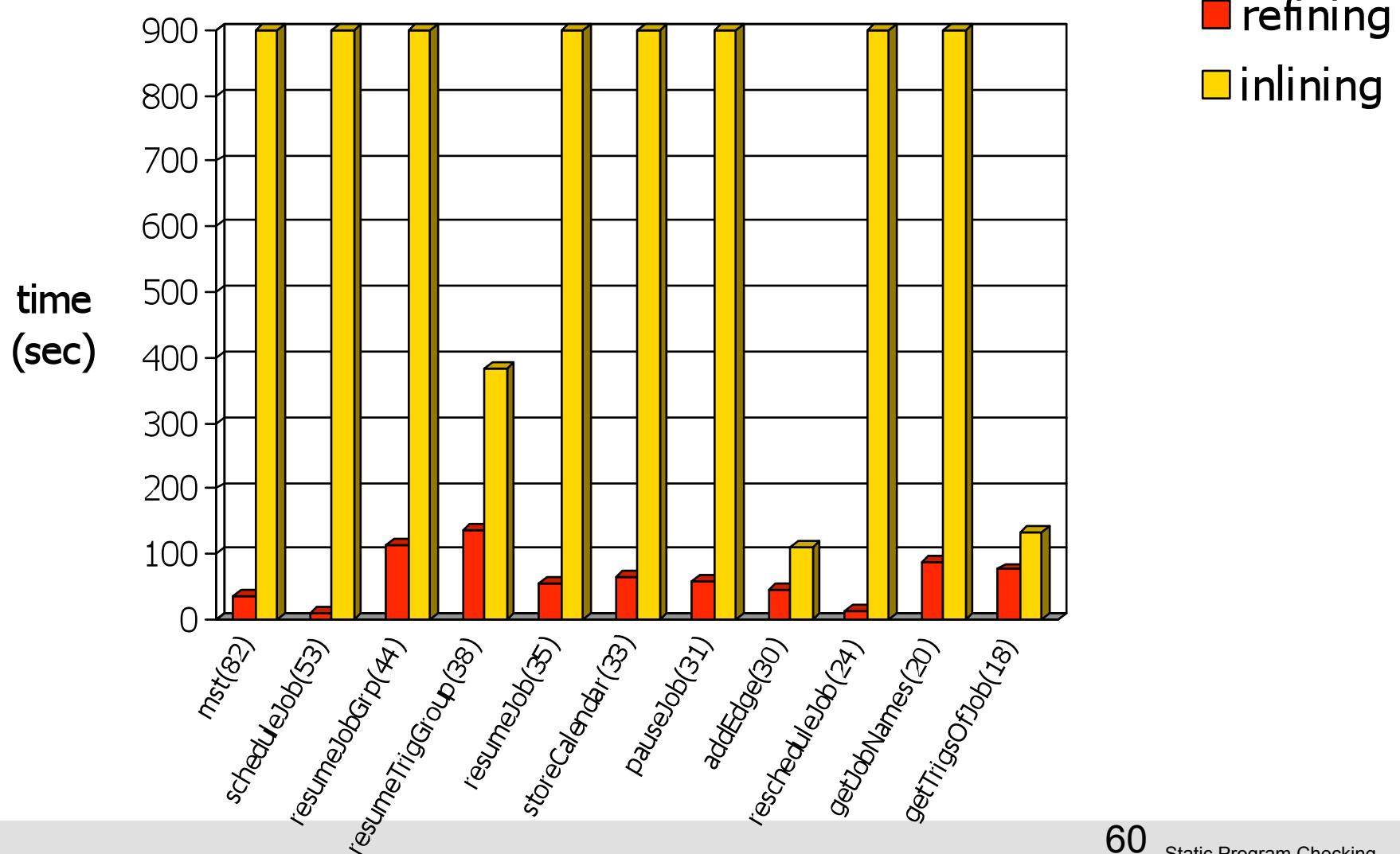
- The bug:
  - In a called procedure (one of the overriding ones)
  - Observable by users of Quartz
- This particular path was not covered by any unit test
- The code:
  - Requires dynamic dispatch
  - Contains 28 method calls
  - Accesses 1 map and 2 lists

Issue Details		<a href="#">XML</a>   <a href="#">Word</a>   <a href="#">Printable</a>
Key:	<a href="#">QUARTZ-557</a>	
Type:	 Bug	
Status:	 Closed	
Resolution:	Fixed	
Priority:	 Major	
Assignee:	<a href="#">Henri Yandell</a>	
Reporter:	<a href="#">Mana Taghdiri</a>	
Votes:	1	
Watchers:	1	

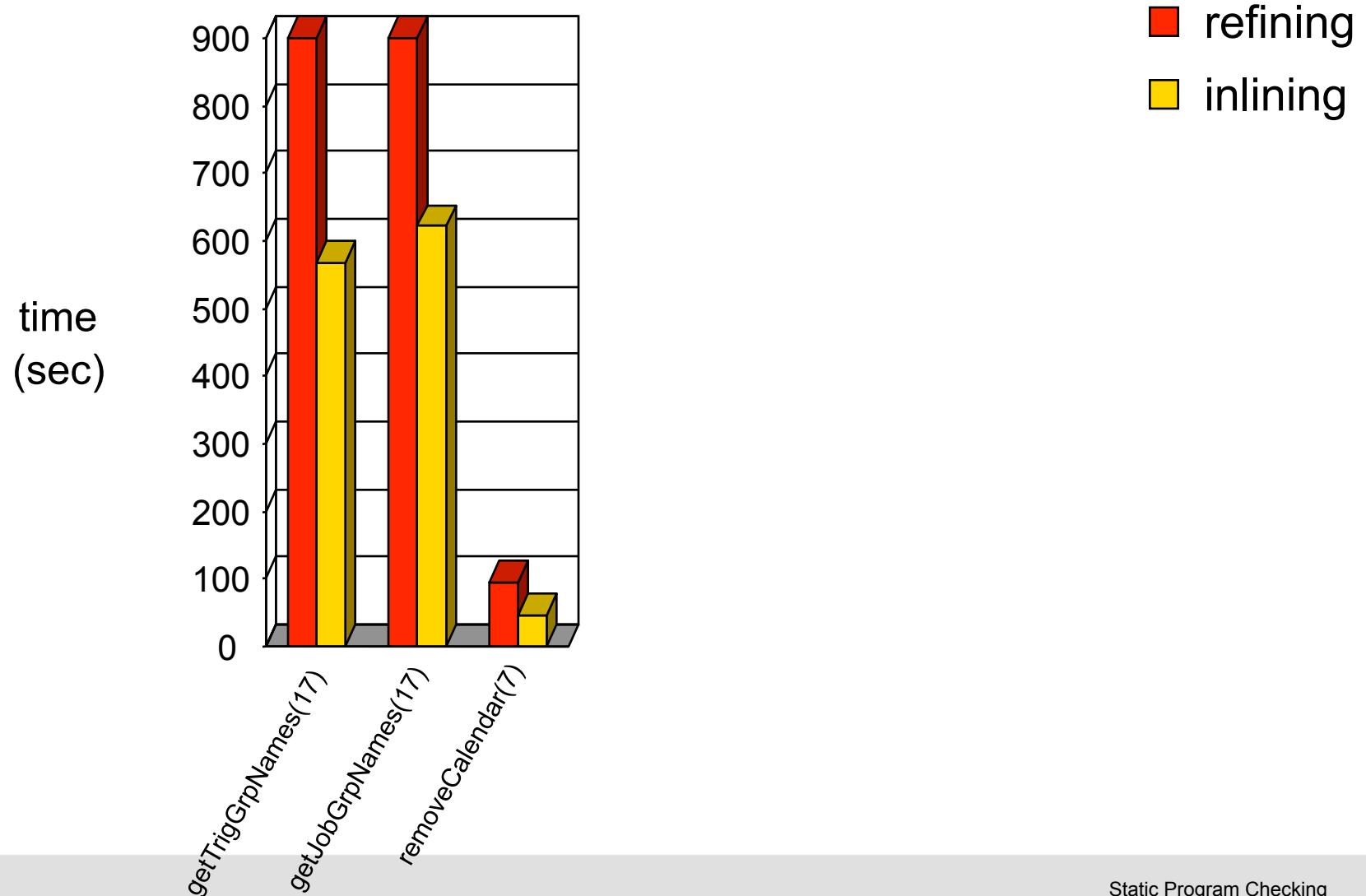
  

Quartz Scheduler	
<a href="#">Cron/Simple Trigger may return a firing time not included in the calender</a>	
Created:	26/Jan/07 12:43 PM
Updated:	06/Sep/07 02:16 PM
Component/s:	<a href="#">Triggers</a>
Affects Version/s:	<a href="#">1.6</a>
Fix Version/s:	<a href="#">1.6.1</a>
File Attachments:	<a href="#">QUARTZ-557-2.patch (1 kb)</a> <a href="#">QUARTZ-557.patch (0.8 kb)</a>
<a href="#">Manage Attachments</a>	

## Refining vs. inlining (partial properties)



## Refining vs. inlining (full properties)



# Abstract interp'n vs. frame cond'n vs. inlining

