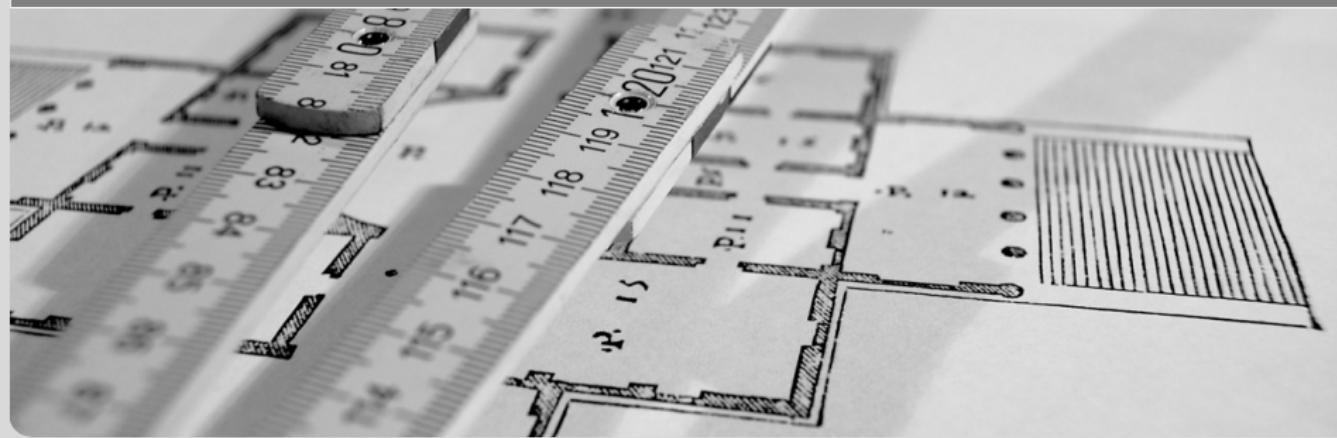


# A Comparative Study of Incremental Constraint Solving Approaches in Symbolic Execution

*Presentation at HVC2014, Haifa.*

Tianhai Liu<sup>1</sup>, Mateus Araújo<sup>2</sup>, Marcelo d'Amorim<sup>2</sup>, and Mana Taghdiri<sup>1</sup> | November 18, 2014

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## Symbolic Execution

- introduced 40 years ago.
- automatically generate high-coverage tests for complex software.
- popular and practical in recent years.
- various tools exist, e.g., KLEE, SPF, PEX.

## Bottlenecks

- space cost: space exploration in path exploration.
- time cost: constraint solving in the solver.

We focus on reducing the **time cost**.

# Introduction by an Example

## Code

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2     int b,int c){
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11     x,y,z:=sym_input();
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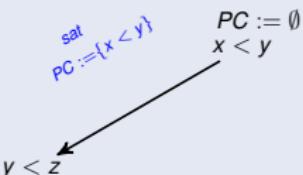
## Symbolic Execution Tree

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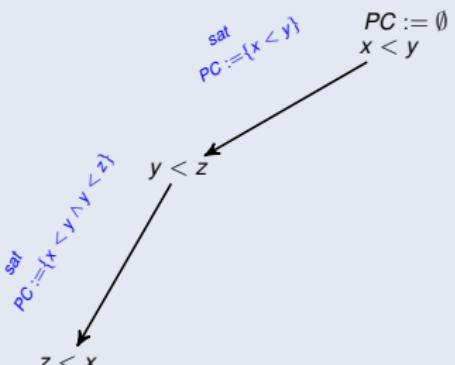


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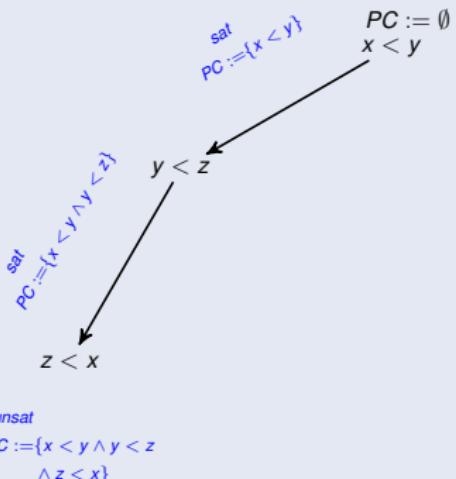


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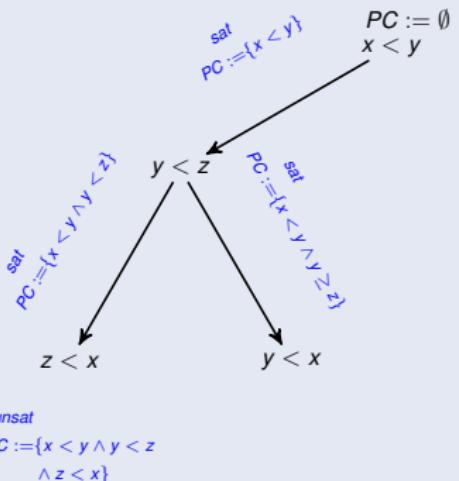


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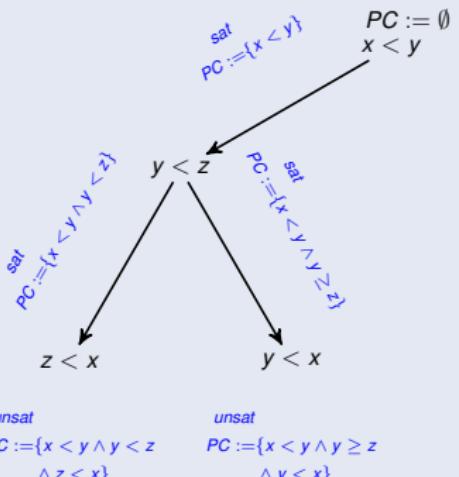


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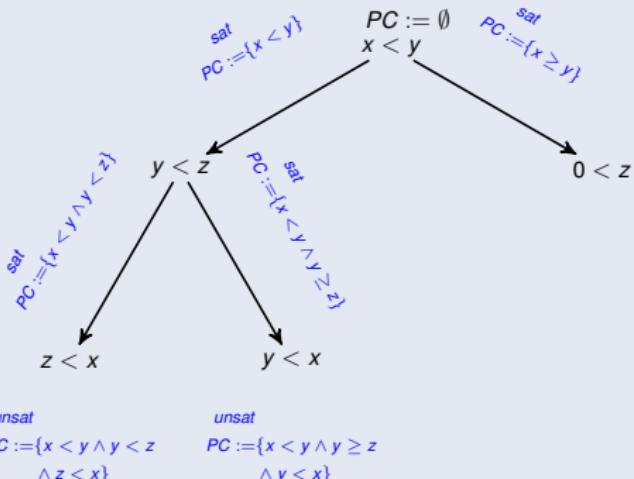


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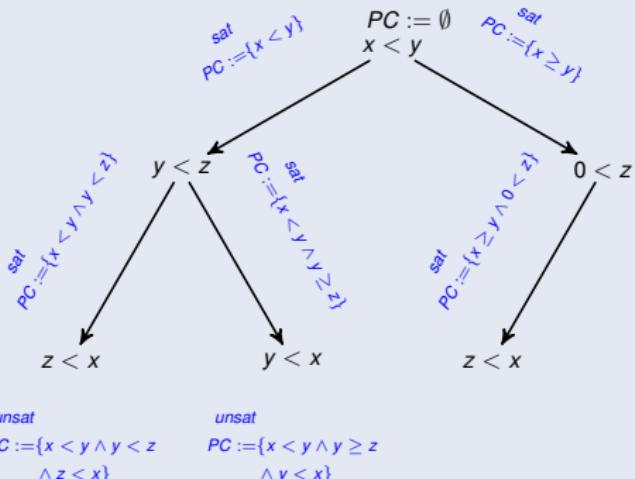


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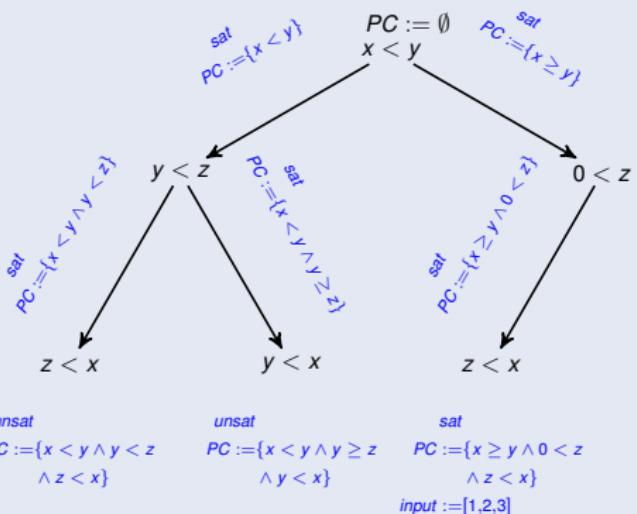


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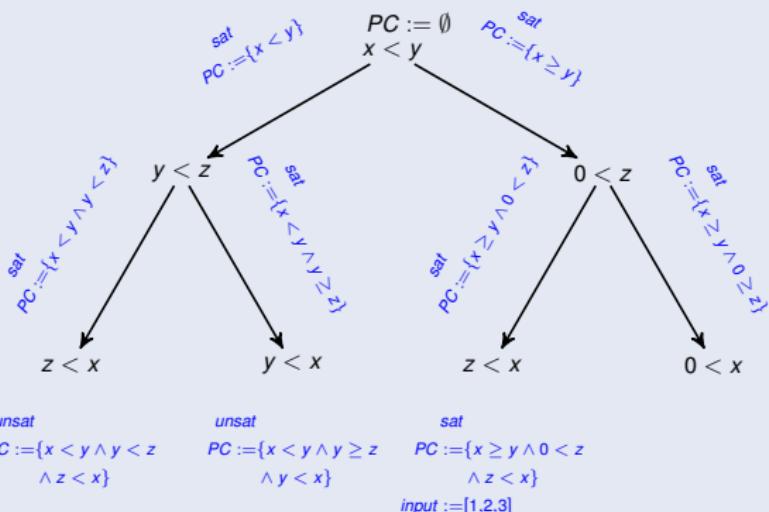


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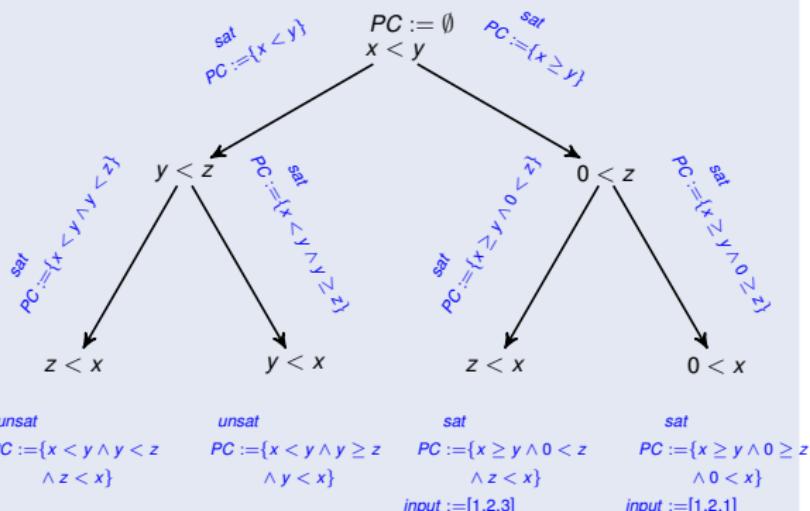


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## Symbolic Execution Tree



## Reducing the cost of constraint solving

- consecutively generated PCs are similar.
- they are suitable for incremental SMT solvers.

⇒ compare various incremental constraint solving approaches.

## Reducing the cost of path exploration

- symbolic states are destroyed on decision points.
- new PC is constructed on each decision point.

⇒ How about constructing path-conditions before path exploration?

# Cache-based Techniques

*caching*: reuse solutions of solved constraints.

- simplify new constraints with independent clauses optimization.
- introduce cost of partitioning constraints and operating cache.
- popular used in tools, e.g., KLEE, SPF, PEX

*cachingOpt*: same to *caching* but building partitions incrementally.

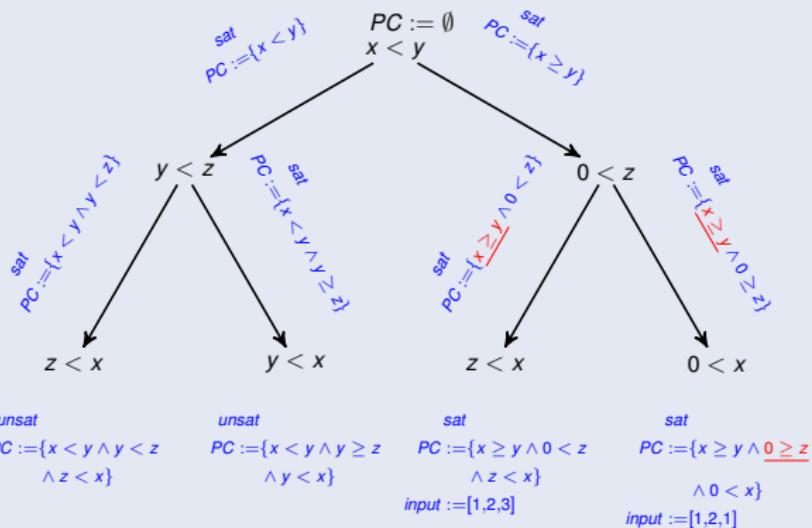
- build new partition by combining new constraint with dependent partitions.
- introduce overhead in combination.

# Cache-based Techniques

## Code

```
1 int biggest(...){  
2     int res = 0;  
3     if (a < b)  
4         res = b;  
5     if (res < c)  
6         res = c;  
7     return res;  
8 }  
9 ...
```

## Symbolic Execution Tree



## stack

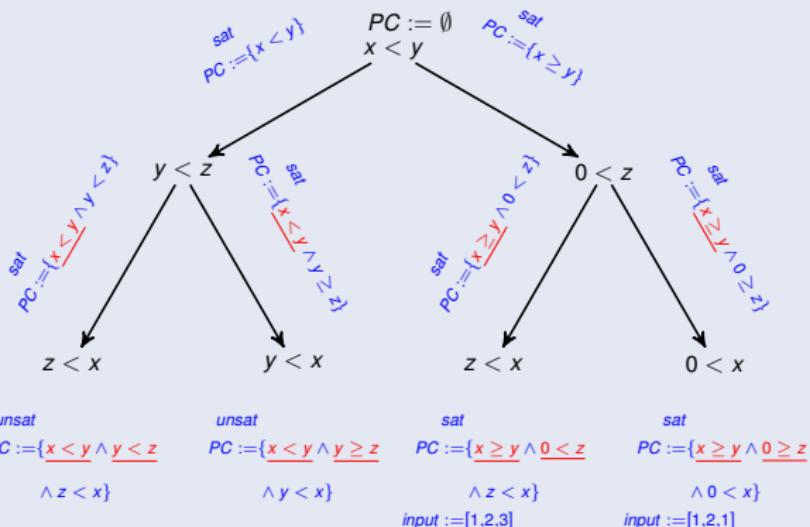
- use incremental SMT solving.
- create new frame on the assertion stack of the solver for each query.
- build new path-conditions in path exploration.

# Technique stack

## Code

```
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3     if (a < b)  
4         res = b;  
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9 ...
```

## Symbolic Execution Tree



## Optimization: *stackOpt*

- same to *stack* but with common sub-expression elimination(CSE).
- find shared expressions outside of constraint solvers.
- introduce new variables referring to shared expressions.

## Research Questions

- How cache-based and stack-based approaches compare?
- What is the benefit of using common sub-expressions elimination?
- Where each technique spends most time?

## Benchmarks

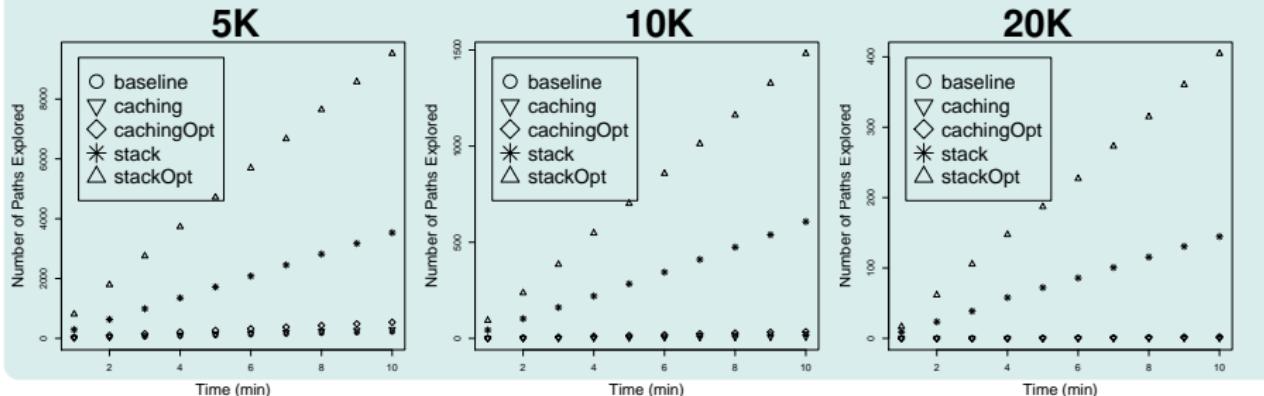
- **RUGRAT**: 300 programs of 5, 10, 20 KLOC, 100 programs for each program size. (~4300 KLOC together).
- **KLEE**: 96 Unix programs, e.g., cat, sed. (~4.5 KLOC together)

## Environment

- Intel CPU with 2.60 GHz running 64-bit openSUSE
- 8GB max heap size for each running

# RQ1: Cache\* VS Stack\* on RUGRAT

## Number of paths explored in 10min



## Observations

- incremental SMT solving is beneficial.
- fewer paths are generated when exploring longer programs.
- linear x-y relationship in the average plots.

## Constraint Generation of KLEE

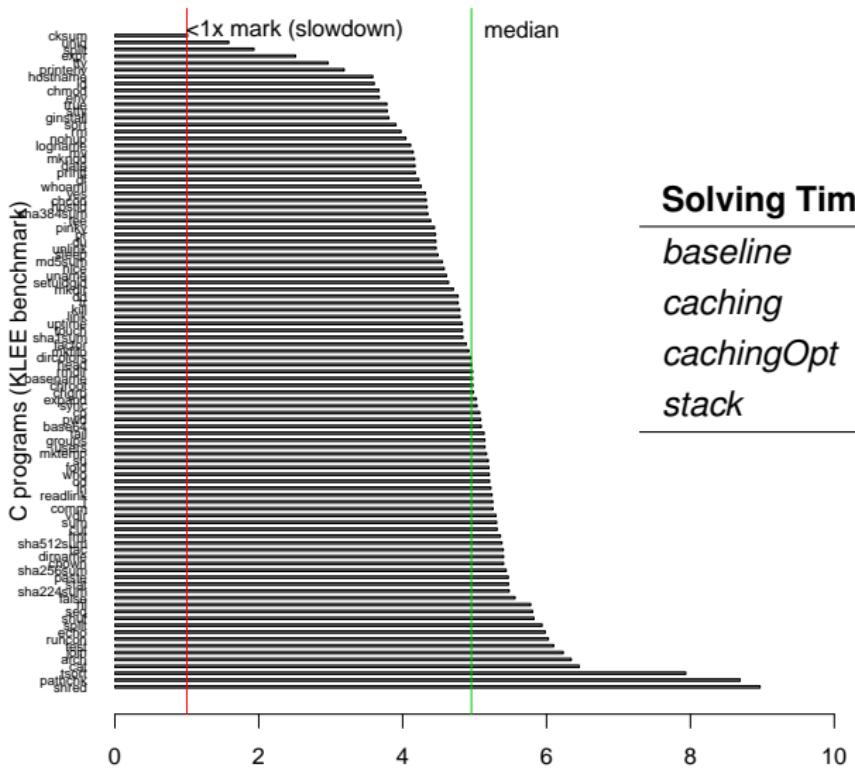
- use KLEE's default configuration<sup>a</sup>
- run KLEE on 96 programs from KLEE Coreutils benchmark (total 101 programs).
- KLEE spent  $\sim 27\text{s}$  ( $90\% = 27\text{s}/30\text{s}$ ) in constraint solving.
- *stack* spends average 7.53s. (best case 0.14s, worst case 72.36s, median 6.3s)
- all 91/96 programs had been solved under 10s.

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<sup>a</sup><http://klee.github.io/klee/klee-tools.html#klee-stats>

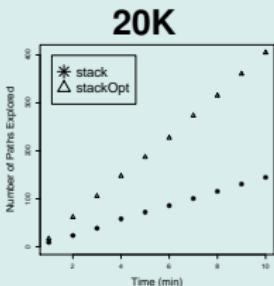
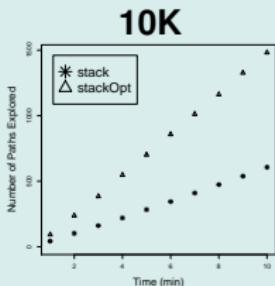
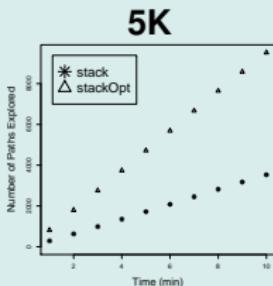
# RQ1: Cache\* VS Stack\* on KLEE

Speedup (x times quicker than best other)



# RQ2: Effects of Common Sub-expression Elimination

Number of paths explored for RUGRAT benchmark in 10min



## Discussion

- *stackOpt* reuses the constraints built before path exploration, while *stack* constructs new constraint on each node.
- SMT solver finds shared expressions at SMT formulas (syntactical) level, while *stackOpt* finds them at Java (semantic) level.

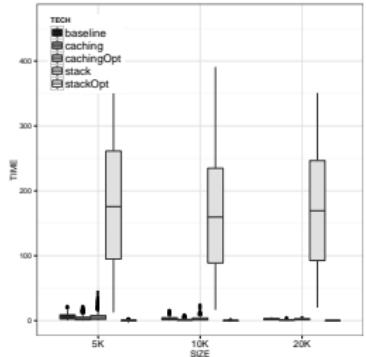
# RQ3: Time-breakdown

## Runtime Cost

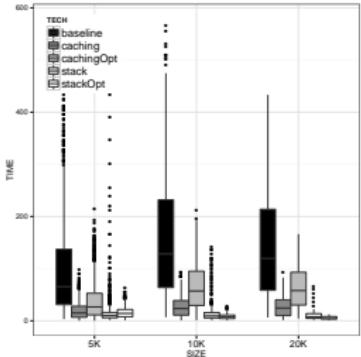
- *path exploration*: exploring paths.
- *expression construction*: creating Z3 internal expressions.
- *constraint solving*: solving and caching constraints.
- *rest*: others, e.g. code transformation.

# RQ3: Time-breakdown

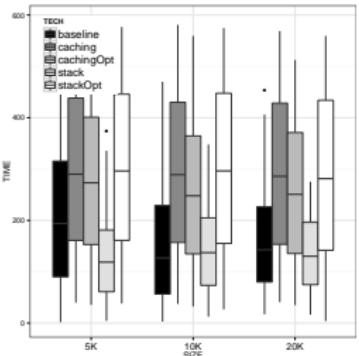
## Path Exploration



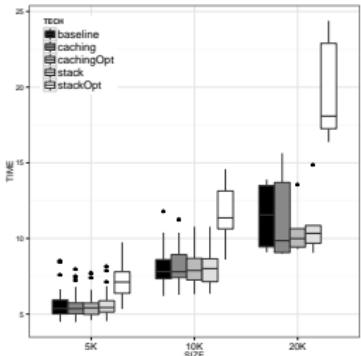
## Expression Construction



## Constraint Solving



## Rest



## Implementation

- use Soot to parse Java source/binary code.
- use Jung to construct symbolic execution tree.
- use InspectJ to unroll loops and inline methods.
- use Z3 API to solve constraints.

## Caching schemes

- Cedar *et al.* proposed several optimizations to simplify constraints prior to invoking the solver in KLEE. In addition, KLEE checks constraints with a potential solution.
- Visser *et al.* proposed GREEN to share results of symbolic executions across different environments. Our contributions are complementary to GREEN to speedup constraint solving.

## Incremental SMT solving

- Incremental SMT solving is used in some situations. e.g., solving scheduling problems.
- Wieringa proposed a technique to enhance the clause learning by executing the solver in multiple threads.

# Conclusion

## Conclusion

- Incremental constraint solving is important in SE.
- Stack-based approaches provides superior results compared to cache-based approaches in our experiments.

## Challenges

- Integrate syntactic and semantic approaches. E.g., how to get semantic information from SMT solvers?
- Handle the theories not supported in SMT solvers efficiently. E.g., how to represent floating-point numbers in SMT solvers?

## Reproduce Experiments

[http://asa.iti.kit.edu/130\\_392.php](http://asa.iti.kit.edu/130_392.php)